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(54) Title: METHOD AND APPARATUS FOR SAMPLE INJECTION IN MICROFABRICATED DEVICES

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(57) Abstract: An off-column sample injection scheme for introducing samples into micro-reaction channels in microfabricated devices. In one aspect of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir provided on the substrate of the microfabricated device into a reaction channel via a constricted channel or opening interface, e.g., a narrow connection-channel and/or a pinhole. In another embodiment of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir that is provided outside the substrate of the microfabricated device. A through-hole is provided in the substrate to facilitate sample introduction into the reaction channel. In a further aspect of the present invention, the free-end of a capillary tube connected to the sample-channel is moved alternatively to a sample and an auxiliary solution to bring multiple samples in series to the vicinity of a reaction channel for convenient sample introduction and high-throughput assays. In another aspect of the present invention, fixed volumes of samples are metered into the reaction channel using one or more slidable blocks having at least one fixed-length sample metering channel. In another aspect of the present invention, a sample injection scheme based on injection time is implemented using relatively sliding blocks of separation channels and sample channels. In a further aspect of the present invention, separation channels are configured in relation to the slidable block in a manner that enables separations to be conducted continuously for high-throughput assays.

## **METHOD AND APPARATUS FOR SAMPLE INJECTION IN MICROFABRICATED DEVICES**

### **BACKGROUND OF THE INVENTION**

#### **1. Field of the invention**

The present invention relates generally to miniature instrumentation for conducting chemical reaction and/or bio-separation, and diagnostics and/or analysis related thereto, and more particularly, to the introduction of samples to the chemical reaction and/or bio-separation channels in microfabricated devices.

#### **2. Description of Related Art**

Bioanalysis, such as DNA analysis, is rapidly making the transition from a purely scientific quest for accuracy to a routine procedure with increased, proven dependability. Medical researchers, pharmacologists, and forensic investigators all use DNA analysis in the pursuit of their tasks. Yet due to the complexity of the equipment that detects and measures DNA samples and the difficulty in preparing the samples, the existing DNA analysis procedures are often time-consuming and expensive. It is therefore desirable to reduce the size, number of parts, and cost of equipment, to make easy sample handling during the process.

One type of DNA analysis instruments separates DNA molecules by relying on electrophoresis. Electrophoresis techniques could be used to separate fragments of DNA for genotyping applications, including human identity testing, expression analysis, pathogen detection, mutation detection, and pharmacogenetics studies. The term electrophoresis refers to the movement of a charged molecule under the influence of an electric field. Electrophoresis can be used to separate molecules of different electrophoretic mobilities in a given separation medium. DNA fragments are one example of such molecules.

There are a variety of commercially available instruments applying electrophoresis to analyze DNA samples. One such type is a multi-lane slab gel electrophoresis instrument, which as the name

suggests, uses a slab of gel on which DNA samples are placed. Electric charges are applied across the gel slab, which cause the DNA sample to be separated into DNA fragments of different masses.

Another type of electrophoresis instruments is the capillary electrophoresis instrument.

Capillary electrophoresis can be considered as one of the latest and most rapidly expanding techniques in analytical chemistry. Capillary electrophoresis refers to a family of related analytical techniques that uses very strong electric fields to separate molecules within narrow-bore capillaries (typically 20-100  $\mu\text{m}$  internal diameter). Capillary electrophoresis techniques are employed in seemingly limitless applications in both industry and academia.

A variety of molecules can be separated by capillary electrophoresis techniques. Sample types include simple organic molecules (charged or neutral), inorganic anions and cations, peptides, oligonucleotides, and DNA sequence fragments. Since the introduction of commercial instrumentation in 1988, the inherent capabilities of capillary electrophoresis and its various modes of operation have been widely demonstrated. Major advantages of capillary electrophoresis include high separation efficiency, small sample and reagent consumption, and low waste generation. The sample fragments in capillary electrophoresis are often analyzed by detecting light emission (e.g., from radiation induced fluorescence) or light absorption associated with the sample. The intensities of the emission are representative of the concentration, amount and/or size of the components of the sample.

Specifically, in capillary electrophoresis, separation is performed in small capillary tubes to reduce band broadening effects due to thermal convection and hence improve resolving power. By applying electrophoresis in a capillary column carrying a buffer solution, the sample size requirement is significantly smaller and the speed of separation and resolution can be increased multiple times compared to the slab gel-electrophoresis method. Only minute volumes of sample materials, typically less than 20 nanoliters, are required to be introduced into the separation capillary column.

It was mentioned in *The Journal of Chromatography*, 452, (1988) 615-622, that sample valves are the most suitable sampling method for capillary electrophoresis. The limitation of this method is the large sampling volume. A rotary injection valve has been used in capillary electrophoresis with a sampling volume of 350 nanoliters. The results have been reported in *Anal. Chem.* 59, (1987) 799. This volume is too large to be used for high-resolution separations. Later, an internal loop injection valve with an injection loop volume of  $\geq 20$  nL has become commercially available, but connecting capillaries to this valve is too much of a challenge and consequently it is not often used in capillary electrophoresis.

Current practical techniques for sample injection in capillary electrophoresis include electromigration and siphoning of sample from a container into one end of a separation column. For the siphoning injection technique, the sample reservoir is coupled to the inlet end of the capillary column and is raised above the buffer reservoir that is at the exit end of the capillary column for a fixed length of time. The electromigration injection technique is effected by applying an appropriate polarized electrical potential across the capillary column for a given duration while the entrance end of the capillary is in the sample reservoir. For both sample injection techniques the input end of the analysis capillary tube must be transferred from a sample reservoir to a buffer reservoir to perform separation. Thus, a mechanical manipulation is involved. It is also difficult to maintain consistency in injecting a fixed volume of sample by either of these techniques, as the sample volume injected are susceptible to changes in sample viscosity, temperature, etc., thereby resulting in relatively poor reproducibility in injected sample volumes between separation runs. Electromigration additionally suffers from electrophoretic mobility-based bias.

Electrophoresis based on microfabricated chips possesses many unique advantages over conventional capillary electrophoresis. One of them is the so-called "differential concentration" effect for separation of DNA sequencing fragments. For sequencing using conventional capillary gel electrophoresis, the signal intensity of separated fragment has an exponential profile against fragment size. That is, very high signal intensities for short fragments and very low for large fragments. Often, the readlength of DNA sequencing is limited by the low signal intensity rather than the resolution for the long fragments. This exponential profile also requires a wide dynamic range for detection.

Capillary electrophoresis on microchips is an emerging new technology that promises to lead the next revolution in chemical analysis. It has the potential to simultaneously assay hundreds of samples in minutes or less time. Microfluidic chips used in electrophoretic separations usually have dimensions from millimeters to decimeters. The largest electrophoretic separation chip so far has a substrate having dimensions of 50-cm x 25-cm, which was disclosed in Micro Total Analysis Systems 2001, 16-18. These microfluidic platforms require only nanoliter or picoliters volumes of sample, in contrast to the microliter volumes required by other separation technologies. These samples may potentially be prepared on-chip for a complete integration of sample preparation and analysis functions. The rapid analysis combined with massively parallel analysis arrays could yield ultrahigh throughputs. These features make microchips an attractive technology for the next generation of capillary electrophoresis instrumentation.

These microchips are prepared using microfabrication techniques developed in the semiconductor industry. Capillary channels are fabricated in microchips using, for example, photolithography or micromolding techniques. Microchips have been demonstrated for separations of amino acids, DNA restriction fragments, PCR products, short oligonucleotides, and sequencing ladders.

For capillary electrophoresis separation on microchips, samples are usually introduced using either cross-channel or double-T sample injectors. The cross-channel injector has been disclosed in U.S. Patent No. 6,001,229. As illustrated in Figure 1a, the cross-channel injector is formed by orthogonally intersecting the separation-channel 6 with a cross-channel 5 and 5a connecting the sample reservoir 1 to an analyte waste reservoir 2. To load sample to the separation-channel 6, analytes are electrophoresed (e.g., by electrokinetic forces) from the sample reservoir 1 to the analyte waste reservoir 2, filling the whole cross-channel 5 including the intersection region 7. When an electric potential is applied to cathode reservoir 3 and anode reservoir 4 along the separation-channel 6 after analytes have been loaded into the intersection region 7, the analytes residing in the intersection region 7 are electrokinetically driven down the separation-channel 6 to perform electrophoretic separation.

In the sample loading process, as analytes migrate across the intersection region 7 analytes disperse orthogonally into the separation-channel 6 due to the electric field distortion and molecular diffusion. This degrades the resolving power and makes the separation irreproducible. To overcome this dispersion, selected voltages are applied to the cathode and anode reservoirs 3 and 4 such that buffer electrolytes, along with the dispersed analytes, are electrokinetically driven to the intersection region 7 and ultimately to the analyte waste reservoir 2. Therefore analyte dispersion is suppressed. This is called a "pinched" injection mode.

A double-T injector on microchips has been disclosed in U.S. Patent No. 6,280,589. In a double-T injector (referring to Figure 1b), the sample channel across the separation channel 6 is divided by the separation-channel into two segments 8 and 9 that are offset by a given distance along the separation channel 6. If the channel connecting the sample reservoir 1 and analyte waste reservoir 2 is still considered the "cross-channel", the offset segment 10 is shared by the "cross-channel" and the separation-channel 6. Similar to the cross-channel injector in Figure 1a, sample is loaded by electrophoresis, from the sample reservoir 1 to the analyte waste reservoir 2, filling the cross-channel segments 8 and 9 and the offset segment 10. As an electric potential is applied to cathode and anode reservoirs 3 and 4 across the separation-channel 6 (including the offset segment 10) after analytes have

been loaded in the off-set segment 10, the analytes residing in the offset segment region 10 are electrokinetically driven down the separation-channel 6 to perform electrophoretic separation. Double-T injectors also suffer from dispersion of analytes into the separation channel. "Pinched" injection mode is usually used to suppress this problem, as discussed in Anal. Chem. 71 (1999) 566-573.

Precise control of the potentials on multiple electrodes in reservoirs 1, 2, 3 and 4 is critical to achieving desired and reproducible results for either cross-channel or double-T injectors when a "pinched" injection mode is employed. These potentials are balanced and calibrated normally using a standard sample until reproducible results have been obtained. However, when samples of different ionic strength and viscosity are to be analyzed, that calibrated potential balance for the device is no longer applicable, and consequently giving rise to undesired and/or irreproducible results.

For sequencing separation on chips with a cross or a double-T sample injector, a uniform signal intensity profile is typically obtained. The mechanism has been illustrated in the Proc. Natl. Acad. Sci. U. S. A. 97 (2000) 5369-5374. During injection, sample is electrophoresed through the cross channel to the offset segment 10 (referring to Figure 1b). This electrophoresis of DNA fragments provides differential enrichment of sequencing fragments. Little change in concentration will occur at the sample/gel interface for small DNA fragments and inorganic ions because their electrophoretic mobilities are similar in free solution and in sieving matrix. On the other hand, a considerable increase in the steady-state concentration will occur at the sample/gel interface for the large fragments because of their reduced mobility in the gel. These results in a concentration compensation for large fragments. Concentrations of large fragments are always lower than those of small fragments in a typical sequencing sample. A uniform intensity profile is therefore generated.

Another advantage of microchips is to use cross-channel 5 or 8 (referring to Figure 1) to perform sample pre-separation or cleanup. Taking DNA sequencing for example, when sample is electrophoresed through the cross channel 5 to the intersection region 7 or segment 8 to the offset segment 10, at an optimized injection time, the majority of the fragments have reached a steady-state concentration in the intersection region 7 or segment 10, while large template and enzyme molecules are still migrating in the cross channel 5 or 8. When voltages are switched to separation, only the fragments in the injector are injected into the separation channel during the separation, while DNA template and enzyme contaminants were removed from the separation channel. Removal of these large molecules has been reported essential to achieve high quality separations. In capillary gel electrophoresis (CGE), they are removed using offline membrane filters.

T-injectors may be used for sample introduction on microchips as well. In this scheme (referring to Figure 1c), the analyte waste reservoir 2 and the channel 9 between the separation-channel 6 and analyte waste reservoir 2 in Figure 1b are eliminated. Analytes are electrophoresed from the sample reservoir 1 through the half "cross-channel" 8 directly into the separation-channel 6. Since the other half of the "cross-channel" 9 is omitted, all analytes exit the half "cross-channel" 8 enter and build up in the separation-channel 6 as the sample loading process continues.

However, there are two major problems associated with the T-injector. The first problem is the augmented electrophoretic mobility-based bias. In a normal electrokinetic injection process of capillary electrophoresis, as the sample inlet end of a separation capillary is dipped directly into the sample solution and all analytes migrate into the separation capillary simultaneously, the electrophoretic mobility-based bias equals to the ratio of their electrophoretic mobilities. In this T-injection scheme, the sample reservoir 1 and the inlet end of the separation-channel 6 are separated by the half "cross-channel" 8. Fast-moving analytes have already migrated into the separation-channel 6 when slow-moving analytes are still migrating in the half "cross-channel" 8. As a result, fast-moving analytes are more preferentially introduced in T-injectors than in conventional capillary electrophoresis and therefore the electrophoretic mobility-based bias is augmented.

The second problem is the difficulty in precisely controlling a finite amount of analytes into the separation-channel 6. This problem is associated with the variation of length of the half "cross-channel" 8. In a microchip fabrication process, channels are photolithographically created and can be very precisely arranged. The reservoirs are holes drilled or physically attached and their positions and dimensions cannot be reproducibly and precisely produced. In T-injection schemes, the quantity of the analytes injected into the separation-channel 6 is normally controlled through timing of the applied electrical potential. Because analytes going to the separation-channel 6 have to pass through the half "cross-channel" 8, it is a significant challenge to attempt to control the timing so that only a given finite amount of analytes is allowed to migrate into the separation-channel 6. Variation of the length of this channel makes the problem even more challenging.

It is therefore desirable to develop a robust and automated sample injection scheme as well as a reproducible sample injection scheme for a microfabricated device, which would overcome the limitations in the prior art. In addition, prior art systems had not adequately addressed the issues and challenges relating to interfacing microfluidic channels with real-world sample and reagent solutions before the full benefits of microfluidic systems can be realized. It is highly desired a sample injection schemes that will facilitate convenient and automated interfacing.

### SUMMARY OF THE INVENTION

The present invention provides a simplified off-column sample injection scheme and a simplified, accurate and reproducible fixed volume sample injection scheme for introducing samples into micro-channels for separation, chemical reaction, etc. (generally referred to as reaction channels or columns) in microfabricated devices, which overcomes the drawback of the prior art. The sample introduction schemes can be used for variety of applications, including integrated microfluidic systems for chemical analysis and sensing, and analytical separation techniques such as capillary electrophoresis, capillary electrochromatography, microcolumn liquid chromatography, flow injection analysis, and field-flow fractionation.

In one aspect of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir provided on the substrate of the microfabricated device into a reaction channel via a constricted channel or opening interface to prevent sample diffusion, improve structural dimension control in fabrication, and reduce augmented electrophoretic mobility-based bias, as compared to the prior art T-injectors. In one embodiment, a relatively short and narrow connection-channel is used to interface between the sample channel and the reaction channel. In another embodiment, a pinhole is used to interface between the sample channel and the reaction channel. In yet another embodiment, a combination of a narrow connection-channel and pinhole. In a further embodiment of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir that is provided outside the substrate of the microfabricated device. A through-hole is provided in the substrate to facilitate introduction of sample into the reaction channel. When the through hole is used as a sample reservoir, it also facilitates convenient cleaning of the sample reservoir.

In another aspect of the present invention, a microfabrication process is developed to provide a pinhole to connect a sample-channel to a reaction channel.

In a further aspect of the present invention, the free-end of a capillary tube connected to the sample-channel is moved alternatively to a sample and an auxiliary solution to bring multiple samples in series to the vicinity of a reaction channel for convenient sample introduction and high-throughput assays.

In accordance with the sample injection schemes of the present invention, it is not required to balance multiple potentials during the process of sample introduction. The reproducibility of sample quantity injected into the reaction channel is improved.



In another aspect of the present invention, fixed volumes of samples are metered into the reaction channel using one or more slidable blocks having at least one fixed-length sample metering channel. In one embodiment, the sample injection scheme comprises three blocks, in which at least one block has a fixed-length sample metering channel and is slidable relative to a block having a separation channel. In another embodiment, the sample injection scheme comprises two blocks for implementing fixed-volume sample injection.

In another aspect of the present invention, a sample injection scheme based on injection time is implemented using relatively sliding blocks of separation channels and sample channels.

In a further aspect of the present invention, separation channels are configured in relation to the slidable block in a manner that enables separations to be conducted continuously for high-throughput assays. In one embodiment, continuous separation is implemented comprising three blocks in which at least one block is slidable relative to the other blocks. In another embodiment, continuous separation is implemented comprising two relatively slidable blocks.

In accordance with the sample injection schemes of the present invention, it is not required to balance multiple potentials during the process of sample introduction and/or sample separation. Very small fixed-volume sample injection is achieved. The reproducibility of sample volume injected into the reaction channel is improved. A wide quantity range of analytes can be injected into the reaction channel. The present invention also takes advantage of "differential concentration" effect.

The operations of the various embodiments of the present invention are controlled by a controller (not shown) to accomplish the functions recited herein.

Other objects, advantages and salient features of the invention will become apparent to those persons skilled in the art upon reading the following detailed description, which taken in conjunction with the annexed drawings, disclosed preferred embodiments of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1a-1c are schematic representations of prior art sample injection schemes for chip based fluidic systems;

Figures 2a-2c are schematic representations of an off-column sample injector in accordance with one embodiment of the present invention;

Figures 3a-3c are schematic representations illustrating the process to form a "K-shaped" sample injector in which sample-channel and separation-channel are connected through a narrow channel in accordance with one embodiment of the present invention;

Figures 4a-4c are schematic representations of a process to form a "K-shaped" sample injector in which sample-channel and separation-channel are connected through a narrow channel and a pinhole in accordance with another embodiment of the present invention;

Figures 5a-5c are schematic representations of a process to form a "K-shaped" sample injector in which sample-channel and separation-channel are connected through a pinhole in accordance with a further embodiment of the present invention;

Figure 6 is a schematic representation of a high-throughput assay chip comprising an off-column sample injector in accordance with one embodiment of the present invention;

Figures 7a-7b are schematic representations of an off-column sample injection scheme in which the sample reservoir is not on chip, in accordance with one embodiment of the present invention;

Figure 8 is a schematic representation of an alternative off-column sample injection scheme in which the sample reservoir is not on chip, in accordance with another embodiment of the present invention;

Figure 9 is an image of a pinhole connection of two channels;

Figures 10a-10d are images illustrating the connection between a capillary tube and a micro-channel in the chip;

Figure 11 is a schematic representation of the implementation of protective frame for the injection bar in accordance with one embodiment of the present invention;

Figures 12a-12c are schematic representations of a three-piece fixed-volume-injector according to one embodiment of the present invention;

Figures 13a-13b are schematic representations of an alternative method to realize sample injection in accordance with another embodiment of the present invention;

Figures 14a-14b are schematic representations of a three-piece fixed-volume-injector in which all separation channels perform separations continuously in accordance with another embodiment of the present invention;

Figures 15a-15c are schematic representations of a two-piece fixed-volume-injector in accordance with yet another embodiment of the present invention, which can also be used for fixed-time injection;

Figures 16a-16b are schematic representations of a two-piece fixed-volume-injector in which all separation channels perform separations continuously in accordance with a further embodiment of the present invention;

Figures 17a-17d are schematic representations of a two-piece fixed-volume-injector in accordance with an alternate embodiment of the present invention;

Figures 18a-18b are schematic representations of another alternative two-piece fixed-volume-injector in which all reservoirs are located on a non-moving part of the chip in accordance with another embodiment of the present invention; and

Figures 19a-19b are schematic representations of an injector holder assembly to perform automatic sample injection in accordance with one embodiment of the present invention.

## DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

The present description is of the best presently contemplated mode of carrying out the invention. This description is made for the purpose of illustrating the general principles of the invention and should not be taken in a limiting sense. The scope of the invention is best determined by reference to the appended claims.

For purpose of illustrating the principles of the present invention and not limitation, the present invention is described by reference to embodiments directed to separation in capillary electrophoresis. The present invention is equally applicable to chemical reactions, diagnostics and/or analysis in microfluidic devices. All references to separation channel hereinafter are examples of reaction channels, and are equally applicable to channels for other purposes in microfluidic devices.

### SAMPLE INJECTION IN MICROFABRICATED DEVICES

Referring to one embodiment of the present invention illustrated in Figure 2a, the off-column sample injection scheme of the present invention is embodied in the form of a "K-shaped" injection device, which comprises a sample-channel 11, a separation-channel 6, and a narrow connection channel 12 coupling between the sample-channel 11 and the separation-channel 6. In a particularly preferred embodiment, sample-channel 11, separation-channel 6 and connection channel 12 are configured as in FIG. 2a. Cathode reservoir 3 and anode reservoir 4 are located at the ends of the separation-channel 6. A sample reservoir 1 and an analyte waste reservoir 2 are located at the ends of the sample-channel 11. The narrow connection-channel 12 connects the sample-channel 11 and the separation-channel 6 in an orthogonal configuration. The sample-channel 11 and the separation-channel 6 are substantially parallel in the region near the connection-channel 12. At least the separation-channel 6 and/or the connection channel 12 is filled with a buffer or electrolyte, which may comprise a gel sieving matrix commonly known in the electrophoresis art.

In preferred embodiments (referring to FIG. 2b), analytes in the sample reservoir 1 are transported through the sample-channel 11 to the vicinity of the connection-channel 12. In preferred embodiments, the analytes are transported using an electrokinetic means such as electrophoretic and/or electroosmotic forces. In other preferred embodiments, sample is transported using a pressure difference between sample reservoir 1 and analyte waste reservoir 2.

Analytes are then introduced into the separation-channel 6 through the connection channel 12. In preferred embodiments, the analytes are introduced using an electrokinetic means such as electrophoretic and/or electroosmotic forces. For example, a voltage is applied between sample reservoir 1 and the anode reservoir 4 or cathode reservoir 3. As a result, analytes are then electrophoretically and/or electroosmotically injected into the separation channel at 13. The electric field can also be applied in alternative ways such as between analyte waste reservoir 2 and the anode reservoir 4 or cathode reservoir 3, or any other combinations between reservoirs 1, 2, 3 and 4 such that an electric field is created in the connection channel 12. In other embodiments, sample may be introduced using a pressure difference between the sample-channel 11 and the separation-channel 6 without departing from the scope and spirit of the present invention (i.e., a pressure drop is created across the connection channel 12). When the connection channel is short, diffusion force may be used for sample introduction as well. The quantity of the sample introduced depends on the analyte concentrations in the sample, the strength of the force, and the duration when this force is applied. By controlling these parameters, these methods allow introduction of a wide quantity range of analyte, from a few molecules to a few micromoles, more preferably from a few attomoles to a few nanomoles.

Referring to FIG. 2c, after analytes are introduced into the separation-channel, a voltage is applied across the buffer in the separation-channel 6 between the cathode reservoir 3 and anode reservoir 4. The analytes introduced into the separation-channel 6 are driven down the separation-channel 6 to perform electrophoretic separation into analyte bands 14.

The sample injected into the separation column 6 can be well controlled because the narrow channel 12 is microfabricated and can be made very short and accurately. This overcomes the problem of dimensional control for T-injectors mentioned in the Background herein. In addition, in accordance with the present invention, sample pre-separation and differential concentration may be performed in sample channel 11. Referring to DNA sequencing, after sample channel 11 is filled with a sieving matrix, DNA fragments are electrophoresed from sample reservoir 1 through sample channel 11 to analyte waste reservoir 2. Larger DNA fragments will be concentrated more than smaller ones at the entrance of connection channel 12. When these analytes are injected into the separation channel 6, differential concentration effect has been performed. When a proper loading time is selected, the fragments of interest should have reached their steady states at the entrance of connection channel 12 while DNA template and other large molecules are still migrating in the top part of the sample channel 11. If a sample injection is executed at this time, these large molecules will not likely enter the separation channel 6 and sample pre-separation is performed. This overcomes the augmented

electrophoretic mobility-based bias problem for T-injectors mentioned in Background. Furthermore, during the process of pre-separation and differential concentration, diffusion of analytes into the connection channel 12 and separation channel 6 can be well controlled without applying any "pinched" voltages to reservoirs 3 and 4 because the connection channel 12 may be made very narrow.

In preferred embodiments, the sample-channel 11, separation-channel 6 and connection channel 12 are micromachined onto a microchip device. The microchip can be made of glass substrates or polymeric materials. In preferred embodiments, these channels have widths less than 1 mm, more preferably between 20  $\mu\text{m}$  to 200  $\mu\text{m}$ . In other embodiments, the connection channel 12 is short, preferably less than 10 mm in length, more preferably between 20  $\mu\text{m}$  and 500  $\mu\text{m}$ , and has width less than 500  $\mu\text{m}$ , and preferably between 2  $\mu\text{m}$  and 50  $\mu\text{m}$ . In additional preferred embodiments, the sample-channel, separation-channel and connection channel may have different widths. More preferably, the connection channel has the smallest width. In other preferred embodiments, the sample-channel has the largest width.

FIG. 3a is a schematic representation of a photomask for fabrication of a new injector of this invention. The long straight vertical line 15 is used for making the separation-channel 6, the short horizontal line 17 for the connection channel 12, and the curved line 16 for sample-channel 11. In preferred embodiments, photomask line 16 is curved so that it gets close to photomask line 15 in the region where these two lines are connected by photomask line 17. At the sections of line 16 that are far away from line 17, the line 16 deviates from line 15. The objective of this design is to allow sample being brought to the vicinity of the separation-channel for convenient and reproducible sample introduction, and at the same time, to ensure proper arrangement of various reservoirs in which a minimum distance is required between two adjacent reservoirs.

FIG. 3b is a schematic representation of grooves microfabricated on a substrate using the photomask presented in FIG. 3a. Sample-channel 11 and separation-channel 6 are normally connected as indicated in FIG. 3c.

In preferred embodiments (referring to FIG. 4a), a gap 18 is provided between line 15 and line 17 on the photomask. After this pattern is transferred to a substrate, various etching methods, more preferably isotropic etching, can reduce the gap and eventually make these two channels connected, as shown in FIG. 4b and 4c. The overlap of the separation-channel 6 and the connection channel 12 can be controlled by the size of the gap and the etching parameters. If the overlap is very small, separation-channel 6 and sample-channel 11 are connected through a connection channel 12 and a pinhole 19.

In additional embodiments (referring to FIG. 5a), only photomask lines 15 and 16 are present, and line 17 is eliminated. The gap 18 is formed by pulling line 15 closer to line 16. After this pattern is transferred to a substrate, various etching methods, more preferably isotropic etching, can reduce the gap and eventually make these two channels connected, as shown in FIG. 5b and 5c. The overlap of the separation-channel 6 and the sample channel 11 can be controlled by the size of the gap and the etching parameters. If the overlap is very small, separation-channel 6 and sample-channel 11 are connected through only a pinhole 19.

In other embodiments (referring to FIG. 6), a tube 20 is connected to the sample end and a tube 25 to the analyte waste end of sample-channel 11. Tube 25 is further connected to a T-connector 26 and then to a sealed waste container 39 and then to a vacuum system 40. An electrode 27 is introduced through one end of the T-connector to the inside of the sample-channel 11 and this end is blocked with a stopper 28 and sealed with glue 29. The free end of tube 20 is connected either to a sample reservoir 21 or an auxiliary buffer or electrolyte reservoir 22. (A plurality of sample reservoirs and auxiliary reservoirs may be made available for different samples and buffers.) Using the vacuum system 40, various (or the same) sample 23 and auxiliary solutions 24 are aspirated through tube 20, to the sample-channel 11, and finally into the sealed waste container 39. Tube 20 and sample-channel 11 are preferably wider than channel 12 and 6 in order to reduce the negative pressure in channel 11 and hence a flow of solution from channel 6 to channel 11. As samples 23 pass by channel 12, proper voltages are applied to electrode 27, 30 and 31 so that a portion of analytes of interests in each sample is introduced into separation-channel 6 for separation. Auxiliary solution(s) 24 are used to avoid cross-contamination between samples. Putting electrode 27 at the downstream prevents bubbles generated by electrolysis from entering separation-channel 6. The vacuum system 40 and the waste reservoir 39 may be replaced with a peristaltic pump.

The open end of the capillary tube may be moved alternatively to a sample reservoir 21 and an auxiliary solution reservoir 22. Various sample solutions 23 are brought in series to the vicinity of the separation-channel for sample injection. High-throughput is thus achieved.

Referring to the embodiment shown in FIG. 7a, in the microchip 32, the connection channel 12 and/or sample-channel 11 is eliminated from the microchip. Instead, a through hole 33 is provided (e.g., drilled) orthogonal to the separation-channel. There are two different modes to operate this device. Mode 1: Referring to FIG. 7b, sample is brought into the through hole 33 using tube 37. Preferably, the through hole 33 has diameter between 20  $\mu\text{m}$  and 3mm, more preferably between 200  $\mu\text{m}$  and 1mm. When a solution is delivered into a hole of these dimensions, surface tension holds the

solution in the hole and sample injection is carried out by applying a voltage between electrodes 30 and 31. Then, an auxiliary electrolyte solution is brought over using tube 36 to rinse the through hole 33. The waste solution is collected underneath the microchip and aspirated away through tube 34 by the vacuum system 40 via waste reservoir 39. A small container 38 is sometimes used to prevent the rinsing solution from spraying out. After residual sample is rinsed out and the through hole is filled with a electrolyte solution, a voltage is applied between electrode 30 and 31 to perform separations. Mode 2: An auxiliary electrolyte solution is continuously delivered to rinse the through hole 33 and waste solution is constantly aspirated away through tube 34. Meanwhile, a voltage is always applied between electrode 30 and 31 during operation. Samples are brought over and delivered in series into the through hole 33 using tube 37. The sample is rinsed away shortly after it is delivered. Because the voltage is constantly applied across channel 6 and 6a, a portion of the analytes are injected into the separation-channel 6 for separation.

In other embodiments (referring to FIG. 8), cathode reservoir 3, electrode 30 and channel 6a are further eliminated. Electrode 27 is incorporated through a T-connector 26, as illustrated in FIG. 6. The two operation modes described in the previous paragraph apply to this injector as well.

In additional embodiments, multiple injectors may be arranged to inject samples to multiple separation channels on a single chip to increase the throughput (e.g., high throughput syntheses and assays).

Apparently, the sample introduction methods and apparatus disclosed in this invention can be used for other applications, in addition to electrophoretic separation. Other applications include capillary electrochromatography, microcolumn liquid chromatography, flow injection analysis, field-flow fractionation, and integrated microfluidic systems to perform various chemical reactions and syntheses.

A variety of methods known in the art may be used to form the "K-shaped" injectors in FIG. 3, 4, and 5. For example, the chip microfabrication protocols disclosed by Liu et al. (1999), or their equivalents known in the art can be readily adapted to produce the chip component of the present invention. Alternative methods known in the art may be employed within the scope of the present invention. For example, for photolithography, a thin sacrificial layer of Cr/Au (300 Å Cr and 0.5 μm Au) may be deposited onto a glass wafer, followed by a photoresist coating (Shipley photoresist 1818). After soft baking at 80 °C, the photoresist may be exposed to UV radiation through a mask. The mask pattern will be transferred to the wafer after the photoresist is developed. After the exposed Cr/Au is etched off using gold and chromium etchants, the channel pattern is chemically etched into the glass.



Concentrated HF may be used as the chemical etchant with an etching rate of ca. 7  $\mu\text{m}$  per minute at 21 °C for borofloat glass. After etching, the residual photoresist and Cr/Au are stripped and access holes 33 if needed are drilled. The etched wafer may be thermally bonded with another wafer to enclose the grooves and form closed channels.

In one embodiment of the present invention, referring to FIG. 3a, the linewidth of line 17 is 5  $\mu\text{m}$ , other lines have a linewidth of 100  $\mu\text{m}$ . Line 17 connects line 15 and 16 directly. After channels are etched 20  $\mu\text{m}$  in depth, the connection channel 12 has a channel-width of ca. 45  $\mu\text{m}$  and all other channels have a channel-width of ca. 140  $\mu\text{m}$ .

In another embodiment, referring to FIG. 4a, all lines have the same linewidth of 10  $\mu\text{m}$ . There is an 60- $\mu\text{m}$ -gap 18 between line 17 and 15. All channels are etched 50  $\mu\text{m}$  deep, this gap disappears, and the connection channel 12 and separation-channel 6 is connected through a pinhole 19. FIG. 9 is an image illustrating such a pinhole connection of two channels. The pinhole may be made much smaller than that shown in the image.

In another embodiment, referring to FIG. 5a, line 16 forms a sharp angle towards line 15. The gap between line 15 and the tip of the angle of line 16 is 60  $\mu\text{m}$ . Both line have a linewidth of 10  $\mu\text{m}$ . After a 50- $\mu\text{m}$ -deep etching, this gap disappears, and the sample-channel 11 and separation-channel 6 is connected through a pinhole 19.

A two-mask procedure may also be used to make the chip channels. Linewidth of both masks are 10  $\mu\text{m}$ . Referring to FIG. 3a, the first photomask has only line 15 and 16 in it, and is used to make sample-channel 11 and separation-channel 6. These channels are made 50- $\mu\text{m}$ -deep. A second photomask contains only the connection channel and is used to make the connection channel 12. The depth, normally 10  $\mu\text{m}$ , of this channel can thus be controlled different from that of the sample- and separation-channel.

There are two methods to form the through hole in FIG. 7 and 8. One method is to drill hole on both wafers and then align these two holes before bonding. The preferred method is to make a chip without a through hole, then fill the channels with wax, drill the through hole, and finally warm the chip and remove the wax.

In the embodiment of FIG. 6, a capillary tube is connected to the sample channel on a microchip device. Round channels may be formed on microfabricated devices and the round channels may be used to connect capillaries to microchip devices.

When hydrofluoric acid is used for glass wafer etching, a characteristic of this etching process is that it is isotropic. Starting with a very narrow linewidth, isotropic etching results in a semicircular

channel. For example, if the line width of the photomask is 5  $\mu\text{m}$ , a 100- $\mu\text{m}$ -deep channel is very close to a semicircle with a long radius of 102.5  $\mu\text{m}$  and a short radius of 100  $\mu\text{m}$ . A very "round" channel is formed after two etched wafers are face-to-face aligned and bonded. After a round channel is formed, a capillary tube may be inserted into it and secured in position with glue.

To minimize the dead volume of this connection, a two-mask process is used to fabricate semicircular channels having different radius (depth), respectively matching the inner and outer diameter of the capillary tube. The smaller channel is etched first using one mask and the larger channel second using a different mask. A round-channel having two different channel diameter is produced after aligning and bonding of the two etched wafers, as schematically disclosed in the literature. FIGS. 10a-10d are images illustrating such chip to capillary tube connection. FIG. 10a is an image of the round channels of two different diameters, FIG. 10b is an image of the round cross-section channel, FIG. 10c is image of three capillary tubes with ground tips, and FIG. 10d is the image of an assembled chip device.

#### REPRODUCIBLE SAMPLE INJECTION ON MICROFABRICATED DEVICES

Problems of using commercially available valves on chips are their large injection volumes and difficulties in incorporating capillary channels with the valves. The present invention solves these long-standing problems by introducing several fixed-volume injectors with a wide range of injection volumes, especially small volumes. These injectors and separation channels are well integrated in a single device.

In particular preferred embodiments, referring to Figure 12a, the fixed-volume-injector of the present invention is configured in a structure that comprises a separation-channel body 111, injection bar 112, and sample-reservoir body 113. The separation-channel body 111 contains waste reservoirs 2 and anode reservoirs 4. The injection bar defines channels for sample injectors 115 and auxiliary channels 114. The sample-reservoir body includes sample reservoirs 1, cathode reservoirs 3 and their corresponding channels 117 and 118.

Figure 12b shows the relative positions of the separation-channel body 111, injection bar 112, and sample-reservoir body 113 at the start stage of sample loading into the injector channels on the injection bar. During operation, sample reservoirs 1 are loaded with different samples. When a proper voltage is applied between sample reservoirs 1 and analytes waste reservoirs 2, analytes in the sample reservoirs 1 electrophoretically migrate, through the injectors 115, to the waste reservoirs 2. Then, the

injection bar 112 is switched to the position as shown in Figure 12c by sliding the injection bar 112, where cathode channels 118, injectors 115 and separation channels 6 are all well aligned. A proper separation voltage is then applied between cathode reservoirs 3 and anode reservoirs 4 to introduce the analytes from the injectors 115 to separation channel 6 for analysis. After separation is completed, the injection bar 112 is switched back to its original position for analysis of the next samples. In this example, both separation channels 6 can run in parallel.

In the process of sample introduction and separation, no "pinched" injection mode is used and potentials applied to reservoirs don't need to be balanced. Channel 117 may also be used to take advantage of the "differential concentration" effect and perform extra sample cleanup at the stage shown in Figure 12b.

In preferred embodiments, electric potential gradient along the sliding interfaces is suppressed, more preferably eliminated. The goal is to prevent analytes from electrokinetically moving from one channel to the other along the interfaces. This may be achieved by making channels of the same kind the same length and applying to the reservoirs of the same kind the same voltage.

In other embodiments, the separation-channel body 111, injection bar 112, and sample-reservoir body 113 is made of the same material such as glass, polycarbonates, and poly(methyl methacrylate). For example, a single chip is fabricated first and then it is diced into three parts. Alignments of appropriate channels will be relatively easy since they are originated from the same piece of the chip.

In additional embodiments, the separation-channel body 111, injection bar 112, and sample-reservoir body 113 may be made of different materials. For example, when the injection bar 112 is desired to be thin, polymeric and metallic materials may be used to provide sufficient structural integrity and strength for the intended mechanical movements. When metallic materials are used, the surface of the injector region may be coated with an electrically insulating layer. Alternatively, pieces of insulating materials containing injectors 115 and auxiliary channels 114 may be inserted into a metallic or polymeric frame, and the assembly is used for the injection bar 112.

It can be appreciated that the method and apparatus described in Figure 12 provides a fixed-volume injection scheme. The dimensions of the channels in the injectors 115 determine the injection volume. When tough material such as metallic foil or polymeric sheet is used, the injection bar may be as thin as 100-500  $\mu\text{m}$ . Channel of 1- $\mu\text{m}$ -deep and 1- $\mu\text{m}$ -wide may be readily fabricated using current photolithographic technologies. This gives an injection volume of 0.1-0.5 picoliter. These dimensions

may certainly be further reduced when necessary. For a 100 pM sample solution, this injection volume means an injection of a few molecules into the separation channel.

Material of the same kind such as glass, polycarbonates, and poly(methyl methacrylate) may be used for the separation-channel body 111, injection bar 112, and sample-reservoir body 113. The injection bar usually has a thickness of 500  $\mu\text{m}$  to 50 mm. Injector channel usually has a depth between 5  $\mu\text{m}$  to 500  $\mu\text{m}$  and a width between 10  $\mu\text{m}$  to 1 mm. This gives a volume range of 25 picoliters to 25 microliters.

The injection bar may be deformed or broken during the process of sliding back and forth, especially when it is thin. In preferred embodiments (referring to Figure 13), one way to reduce this deformation or breakage is to slide the injection bar 112 with the sample-reservoir body 113 to the sample injection position (see Figure 13a), then allow the injection bar stay with the separation-channel body 111 and slide the sample-reservoir body 113 back (see Figure 13b). To get the injection bar 112 back for sample loading, the sample-reservoir body 113 is moved to the position as shown in Figure 13a and then both the injection bar 112 and sample-reservoir body 113 are moved back to its original position (see Figure 12b). A two-step process is needed to move the injection bar 112 from sample loading position to sample injection position, but in each moving step there is only one sliding interface.

In the embodiment shown in Figure 11, a frame 141 may be used to protect the injection bar when it is very thin. This frame supports the injection bar 112 on its sides, which also facilitates sliding motion of the injection bar back and forth. The holes 142 are used to facilitate the push and/or pull actions and may also be used for proper alignment.

In other preferred embodiments, the fixed-volume-injector is designed as illustrated in Figure 14. One extra sample and waste reservoirs are fabricated and all channels are equally spaced. In this example, there are three pairs of sample and waste reservoirs and two separation channels 6. After one set of samples is loaded in the two injectors 115 on the right of Figure 14a, the injection bar 112 is shifted to sample injection position as indicated in Figure 14b for sample injection. While this set of samples is being separated in the separation channels 6, another set of samples is loaded in the auxiliary channels 114 on the left of Figure 14b. As the injection bar 112 is shifted back to Figure 14a, the set of samples in the two auxiliary channels 114 is injected for separation. The set of two auxiliary channels 114 serves as another set of injectors 115. In every movement of the injection bar 112, separation of a new set of samples is performed.

It is within the scope and spirit of the present invention to configure the injector and auxiliary channels in a circle or cylindrical configuration about an axis of rotation (not shown), to create a rotary valve like a conventional sandwich rotary valve. The extra sample and waste reservoirs are not "extra" any more. All channels are effectively utilized in a repetitive cyclic configuration.

In another embodiment of the present invention, the fixed-volume-injector takes on a structure that comprises two relatively sliding blocks, the sample-reservoir body 113 and the separation-channel body 111 as shown in Figure 15a. The dedicate injection bar 112 in the previous embodiment is eliminated in this embodiment. In this embodiment, the injector may be operated in one of the two modes: timed injection mode and fixed-volume injection mode. In a timed injection mode, referring to Figure 15b, samples are loaded in the sample reservoirs 1 and electrophoresed across channels 117 and 116 to waste reservoirs 2. Then, the sample-reservoir body 113 is shifted to a sample injection position as shown in Figure 15c. A sample injection voltage is applied briefly between sample reservoirs 1 and anode reservoirs 4. The quantity of analytes injected is controlled mainly by the time in which this sample injection voltage is applied. After sample injection, the sample-reservoir body 113 is shifted back to the configuration is shown in Figure 15b, and a separation voltage is applied between cathode reservoirs 3 and anode reservoirs 4 for separation.

In a fixed-volume injection mode, in the configuration shown in Figure 15b, samples are loaded in sample reservoirs 1 and electrophoresed across channels 117 and 116 to waste reservoirs 2. Thereafter, the sample reservoirs 1 are cleaned and loaded with electrophoresis buffer. The sample-reservoir body 113 is shifted to sample injection position as shown in Figure 15c. Then an injection voltage is applied between sample reservoirs 1 and anode reservoirs 4 to inject all the analytes in channel 117 to the separation channel 6. The sample-reservoir body 113 is then shifted back to its original position as shown in Figure 15b. A separation voltage is applied between cathode reservoirs 3 and anode reservoirs 4 for separation. The amount of analytes injected is determined by the size of channel 117. The length of channel 117 is preferably longer than 1 mm, more preferably longer than 3 mm, to ensure good reproducibility.

In a further embodiment, the chip in Figure 15 is slightly modified to contain an extra waste analyte reservoir 2 and channel 116 as illustrated in Figure 16. The sample is metered into channel 117 (Figure 16a) as in the previous embodiment in Figure 15b. After the first set of samples is injected in Fig. 16b, the sample-reservoir body 113 stays in sample injection position as shown in Figure 16b to perform sample separation. Sample injection and separation may be combined into a single step. While this set of sample is in the process of separation, another set of samples is loaded in the cathode

reservoirs 3, electrophoresed across channel 118 and 116 to waste analyte reservoirs 2. Then the samples in cathode reservoirs are cleaned and electrophoresis buffer solution is introduced into these reservoirs. After separation of the first set of samples, the sample-reservoir body 113 is shifted to its original position as shown in Figure 16a. At this position, samples in channels 118 may be injected into the separation channels 6 and separated. Separation channels are separating samples continuously and cathode reservoirs 3 serve as another set of sample reservoirs.

Injectors described in Figures 15 and 16 eliminated a delicate injection bar 112 of the three-piece fixed-volume-injectors as described in Figures 12, 13 and 15. Moving the sample-reservoir body 113 should be more robust than moving the injection bar 112. The accuracy of the injected volumes may not be as high and the injection volumes may not be as low as the three-piece fixed-volume-injectors.

In other preferred embodiments, reservoirs and channels are reconfigured as illustrated in Figure 17 to overcome the problems of the two-piece fixed-volume-injectors. In this design, sample reservoirs 1 and anode reservoirs 4 are on the same piece while analyte waste reservoirs 2 and cathode reservoirs 3 are on the other. Also, channels 117 are connected to the separation channels 6 at positions 137 that are a finite distance away from the sliding interface. Channels 138 between point 137 and the sliding interface are the sample injectors. During sample loading, the cathode-reservoir body 135 is shifted to a loading position as illustrated in Figure 17b. A sample loading voltage is applied between sample reservoirs 1 and analyte waste reservoirs 2. Samples are loaded in sample reservoirs 1, electrophoresed across channels 117 and 116 to the analyte waste reservoir 2. Then, cathode-reservoir body 135 is shifted back to its original position as shown in Figure 17a. A separation voltage is applied between cathode reservoirs 3 and anode reservoirs 4 analytes in the injectors 138 are injected into the separation channels 6 and separated. Injector channels 138 may be made as short as tens of micrometers, preferably hundreds of micrometers, because they are microfabricated and then diced.

In additional embodiments, also referring to earlier embodiments described above, channels 117 are connected to the separation channels 6 through a short and narrow channel 142 (referring to Figure 17c) or a pinhole 143 (referring to Figure 17d) to prevent analytes from bleeding into the separation channels 6. Therefore, potentials applied to reservoirs do not need to be balanced.

In the injection schemes described in Figures 13, 15, 16 and 17, some of the reservoirs are moving with the chip piece to accomplish sample introduction and separation. In other preferred embodiments, referring to Figure 18, all reservoirs are arranged on the separation-channel body 140

and only the injector channels 119 are located on the moving piece 139. While the moving piece 139 is in its original position as shown in Figure 18a, samples are loaded in sample reservoirs 1 and a sample loading voltage is applied between sample reservoirs 1 and analyte waste reservoirs 2. Analytes are electrophoresed across channels 117, injector channels 119 and channels 116 to analyte waste reservoirs 2. Then, the moving piece 119 is shifted to sample injection and separation position as shown in Figure 18b. As a separation voltage is applied between cathode reservoirs 3 and anode reservoirs 4, analytes in injector channels 119 are injected into the separation channels 6 for separation. Using this injection scheme, all reservoirs remain at fixed positions during operation.

Injector channels 119 are preferably have smaller dimensions than other channels. No balancing of the potentials applied to all reservoirs is required for all the injection schemes disclosed in this invention.

In a further embodiment, part or all of the reservoirs can be removed from the chip. Capillary tubes are used to connect part or all of the channels to appropriate containers outside the chip, as in the earlier embodiment described above.

Figure 19 illustrates an injector holder that may be designed to perform automated sample introduction and separation in accordance with one embodiment of the present invention. Referring to Figure 19a and 19b, the holder 120 has a step 130 on the top. The flat region of the holder is slightly smaller than the chip that comprises a separation-channel body 111, an injection bar 112 and a sample-reservoir body 113. Heating or cooling elements may be included in this holder 120 to warm or cool the chip. The chip is put on the flat region of the holder with the sample-reservoir body 113 against the step 130. Two upside-down "L-shaped" clamps 121 are screwed down to a base (not shown) to secure the chip on the holder. The holder 120 and another strip 124 are also screwed down to the base to enable a spring 126 to bias a block 125 against the separation-channel body 111 of the chip. The strength of the spring 126 controls the tightness of the separation-channel body 111, injection bar 112 and sample-reservoir body 113 being held together. On each clamp 121 there is a step-hole 127, aligned with the injection bar 112 of the chip. A plunger 122 has a small head 123 with a cross-section slightly smaller than that of the injection bar 112. Plunger 122 is pneumatically operated in the step-hole 127. When the plunger is pressurized, it pushes towards the injection bar 112 but stops as the step-surface 133 of the plunger 122 contacts the step-surface 134 of the step-hole 127. When one plunger 122 on one side injection bar 112 is pushed in, the other plunger 122 on the other side of the injection bar 112 is pushed out, and *vice versa*. As the injection bar is moved back and forth, sample introduction and separation are carried out.

As illustrated in Figure 19 and Figure 11, separation channels 6 may be brought together forming a fan shape to facilitate scanning detection of multiple channels simultaneously, and all anode reservoirs are combined into a common reservoir to facilitate separation.

Schematic diagrams showing various embodiments of the fixed-volume-injectors of the present invention are provided in Figures 12 through 18. A variety of methods known in the art may be used to fabricate the fixed-volume-injectors. For example, the chip microfabrication protocols disclosed by S. Liu, et al., *Analytical Chemistry* 71 (1999) 566-573, or their equivalents known in the art can readily be adapted to produce the chip component of the present invention. Alternative methods known in the art may be employed within the scope of the present invention. For example, for photolithography, a thin sacrificial layer of Cr/Au (300 Å Cr and 0.5 µm Au) may be deposited onto a glass wafer, followed by a photoresist coating (Shipley photoresist 1818). After soft baking at 80 °C, the photoresist may be exposed to UV radiation through a mask. The mask pattern will be transferred to the wafer after the photoresist is developed. After the exposed Cr/Au is etched off using gold and chromium etchants, the channel pattern is chemically etched into the glass. Concentrated HF may be used as the chemical etchant with an etching rate of ca. 7 µm per minute at 21 °C for borofloat glass. After etching, the residual photoresist and Cr/Au are stripped and access holes 133 if needed are drilled. The etched wafer may be thermally bonded with another wafer to enclose the grooves and form closed channels. The bonded chips are then taken to a dicing saw and diced to form the three-piece and two-piece fixed-volume-injectors.

While the embodiment in Figures 12, 14 and 19 are shown to comprise of separate pieces of separation-channel body 11, injection bar 12, and sample-reservoir body 13, it is noted that the separation-channel body 11 and the sample-reservoir body 13 may be configured in a single unitary body, with the sample injection bar 12 slidable between the separation-channel body 11 and the sample-reservoir body 13, without departing from the scope and spirit of the present invention.

\* \* \*



The operations of the various embodiments of the present invention are controlled by a controller (not shown) to accomplish the functions recited herein. It would be within a person skilled in the art to implement the program code given the functions and features disclosed herein.

All of the methods and apparatus disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the invention has been described with respect to the described embodiments in accordance therewith, it will be apparent to those skilled in the art that various modifications and improvements may be made without departing from the scope and spirit of the invention. For example, it will be apparent to those of skill in the art that variations may be applied to the methods and apparatus and in the steps or in the sequence of steps of the methods described herein without departing from the concept, spirit and scope of the invention. It also will be apparent that certain substance such as polymeric and ceramic materials may be substituted for the glass materials described herein to achieve the same, similar or improved results. By way of example and not limitation, the sample injection concepts of the present invention is described in connection with capillary electrophoresis in a microfabricated chip. It is understood that the present invention is also applicable to bio-separation based on other than electrophoresis, and emissive radiation based detection such as fluorescence, phosphorescence, luminescence and chemiluminescence as well as absorbance based detection. The sample introduction schemes of the present invention can be used for variety of applications, including integrated microfluidic systems for chemical analysis and sensing, and analytical separation techniques such as capillary electrophoresis, capillary electrochromatography, microcolumn liquid chromatography, flow injection analysis, and field-flow fractionation. A person skilled in the art will recognize that the instrument incorporating the essence of this invention can also be used for biomolecular analysis for DNA, proteins, carbohydrates, lipids, etc.

Furthermore, while the reaction channels in the described embodiments are defined by micro-separation channels etched in a substrate (micro-fluidics type devices or bio-chips), it is understood that the concepts of the present invention is equally applicable to columns or tubes defining the reaction channels.

All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims. Accordingly, it is to be understood that the invention is not to be limited by the specific illustrated embodiments, but only by the scope of the appended claims.

**CLAIMS****We Claim:**

1. A microfluidic device for handling a sample, comprising:
  - a substrate;
  - a reaction channel defined on the substrate along which the sample migrates;
  - a sample channel defined on the substrate from which the sample is introduced into the reaction channel; and
  - a constricted conduit interface in fluid coupling between the reaction channel and sample channel, through which the sample is injected from the sample channel into the reaction channel.
2. A microfluidic device as in claim 1, wherein the constricted conduit comprises a relatively short and narrow channel in comparison to the sample channel.
3. A microfluidic device as in claim 1, wherein the constricted conduit comprises a constricted opening.
4. A microfluidic device as in claim 1, wherein the constricted opening is in the form of a pinhole.
5. A microfluidic device as in claim 1, wherein the constricted conduit is configured and sized to have at least one of the following characteristics: prevent sample diffusion from the sample channel into the reaction channel, improve structural dimension control in fabrication, and reduce augmented electrophoretic mobility-based bias of sample from the sample channel.
6. A microfluidic device as in claim 1, wherein two ends of the sample channel are in fluid communication with a sample reservoir and a waste reservoir defined on the substrate, respectively.
7. A microfluidic device as in claim 1, wherein the sample channel is in fluid communication with a sample source external of the substrate.

8. A microfluidic device as in claim 7, wherein the sample channel takes the form of a through-hole provided in the substrate to facilitate introduction of sample into the reaction channel.

9. A microfluidic device as in claim 8, wherein the through-hole collects sample when sample is loaded on the through-hole from the external source.

10. A microfluidic system, comprising:  
a substrate;  
a reaction channel defined on the substrate along which the sample migrates;  
a sample channel defined on the substrate from which the sample is introduced into the reaction channel;  
a constricted conduit interface in fluid coupling between the reaction channel and sample channel, through which the sample is injected from the sample channel into the reaction channel;  
a capillary tube having a first end depositing fluid on the sample-channel, and a second end coupled to at least one of a sample reservoir and an auxiliary buffer reservoir; and  
means for delivering sample and buffer to the sample channel via said capillary tube from said at least one of a sample source and an auxiliary buffer reservoir.

11. A microfluidic system as in claim 10, wherein the second end of the capillary tube is supported to access multiple samples in series from multiple sample reservoirs.

12. A method for injecting sample into a reaction channel defined on the substrate of a microfluidic device, comprising the steps of:

defining on the substrate a sample channel from which the sample is introduced into the reaction channel;

defining a constricted conduit interface in fluid coupling between the reaction channel and sample channel, through which the sample is injected from the sample channel into the reaction channel; and

applying a driving force to injecting the sample from the sample channel into the reaction channel through the constricted conduit.

13. A microfluidic device, comprising:

a substrate comprising at least first and second blocks that are configured to slide relative to each another;

at least one reaction channel defined on the first block along which the sample migrates;

at least one sample channel defined on the first block;

at least one sample metering channel defined on the second block;

sliding means for sliding the second block relatively to the first block from a first position at which sample is loaded from the sample channel into the sample metering channel, to a second position at which sample is introduced from the sample metering channel to the reaction channel.

14. A microfluidic device as in claim 13, wherein the first block comprises a sample reservoir in a first section and a waste reservoir in a second section, wherein the second block slides between the first and second sections, with the sample metering channel in fluid communication with the sample reservoir and waste reservoir at the first position.

15. A microfluidic device as in claim 14, wherein the first block further comprises a first buffer reservoir in the first section and a second buffer reservoir in the second section, wherein at least one of the first and second buffer reservoirs is in fluid communication with the sample metering channel at the second position.

16. A microfluidic device as in claim 15, wherein the second block further comprises at least one auxiliary channel, wherein the auxiliary channel is aligned in fluid communication with at least one of the first and second buffer reservoir at the first position.

17. A microfluidic device as in claim 14, wherein the first and second sections of the first block are in separate pieces slidable relative to the second block.

18. A microfluidic device as in claim 17, wherein the first section of the first block moves with the second block when the second block slides from the first position to the second position.

19. A microfluidic device as in claim 18, wherein the first section of the first block slides relative to the second block to align the first and second buffer reservoir in fluid communication with the sample metering channel at the second position of the second block.

20. A microfluidic device as in claim 13, wherein the second block comprises at least one of a sample reservoir and waste reservoir in fluid communication with the sample metering channel, and the first block comprises at least one of a waste reservoir and sample reservoir complementary to said at least one of a sample reservoir and waste reservoir in the second block in fluid communication with the sample channel, wherein when the second block is at the first position, the sample reservoir, waste reservoir, sample channel and sample metering channel are aligned in fluid communication.

21. A microfluidic device as in claim 13, wherein the second block comprises first and second sample metering channels, wherein the second sample metering channel is positioned to permit sample loading from the sample channel to the second sample metering channel while sample is being introduced from the first sample metering channel to the reaction channel at the second position.

22. A microfluidic device as in claim 13, wherein the sample metering channel has two ends on a side of the second block that slides relative to the first block; and wherein the two ends of the sample metering channel are align in fluid communication with the sample channel at the first position, and with the reaction channel at the second position.

23. A microfluidic device as in claim 13, wherein there are a plurality of reaction channels, and wherein one end of the plurality of channels terminate at a same reservoir.

24. A microfluidic device as in claim 13, further comprising means for biasing the first and second blocks against each other in a slidable relationship.

25. A microfluidic device, comprising:  
a substrate comprising at least first and second blocks that are configured to slide relative to each another;

at least one reaction channel defined on the first block along which the sample migrates;

at least one sample channel defined on the first block;

at least one sample metering channel defined on the first block;

at least one waste reservoir on the second block;

sliding means for sliding the second block relatively to the first block from a first position at which sample is loaded from the sample channel into the sample metering channel with excess discharged to the waste reservoir in the second block, to a second position at which sample is introduced from the sample metering channel to the reaction channel.

26. A method for injecting sample into a reaction channel defined on a microfluidic device, comprising the steps of:

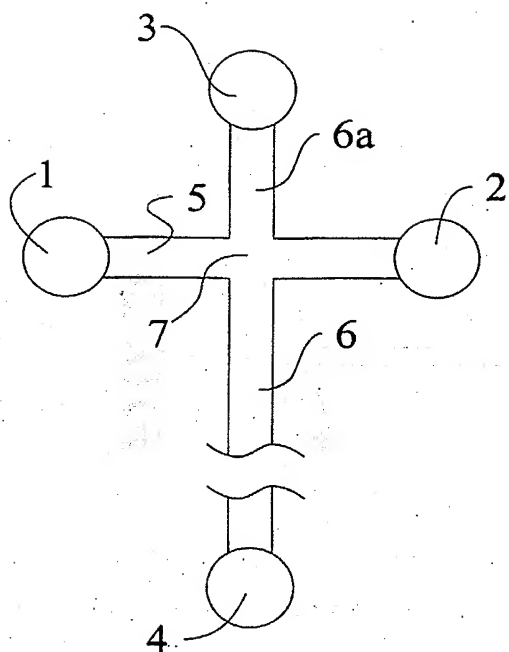
providing a substrate comprising at least first and second blocks that are configured to slide relative to each other;

defining at least one reaction channel on the first block along which the sample migrates;

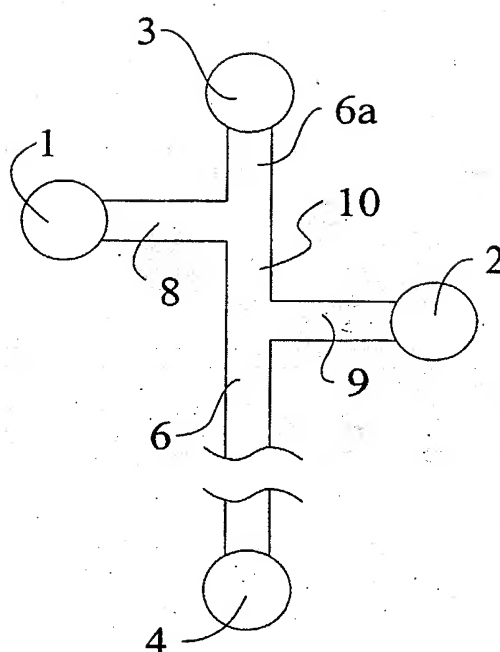
defining at least one sample channel on the first block;

defining at least one sample metering channel on the second block;

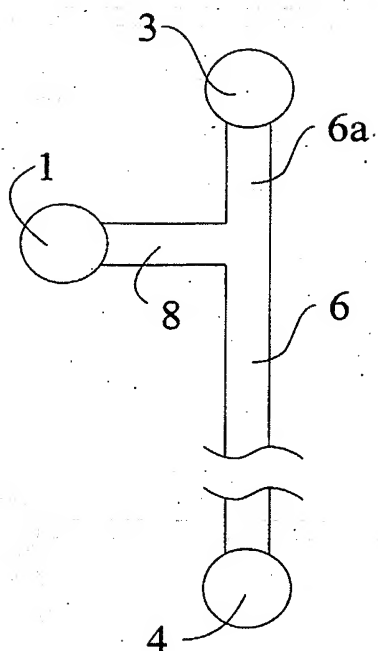
sliding the second block relatively to the first block from a first position at which sample is loaded from the sample channel into the sample metering channel, to a second position at which sample is introduced from the sample metering channel to the reaction channel.



**FIG. 1a** (prior art)



**FIG. 1b** (prior art)



**FIG. 1c** (prior art)

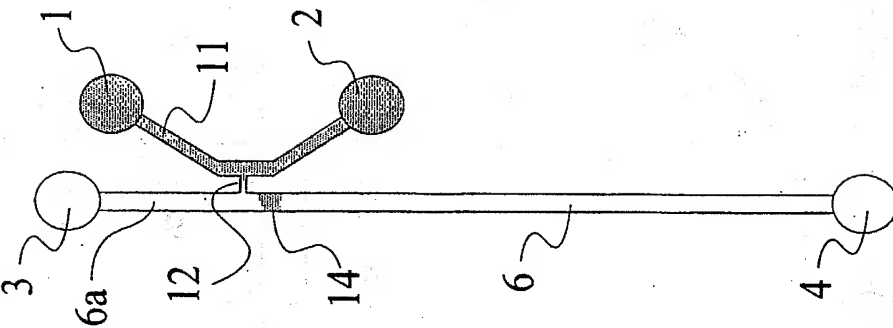


FIG. 2a

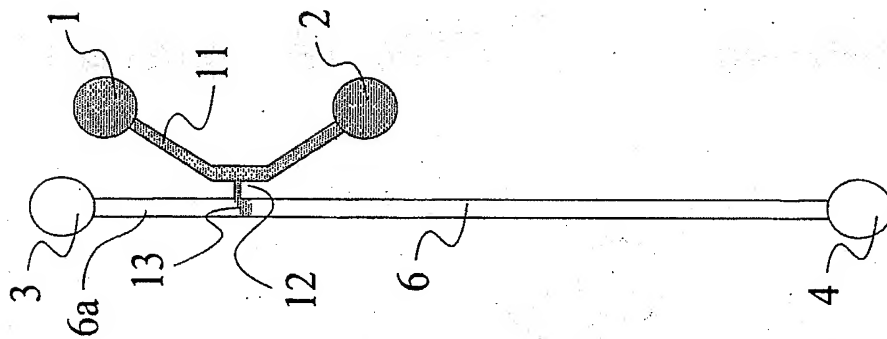


FIG. 2b

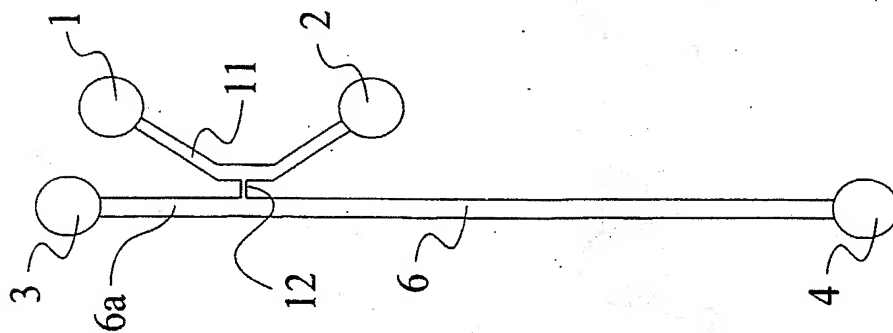


FIG. 2c



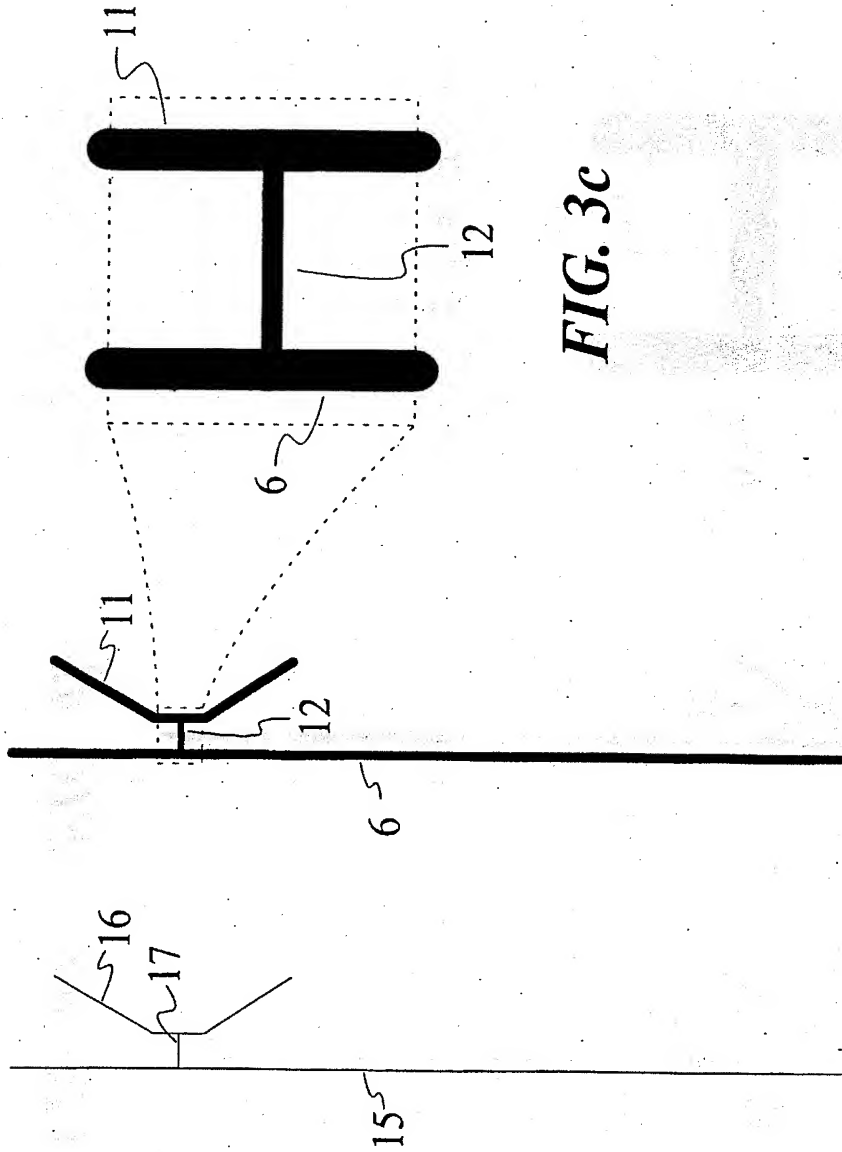


FIG. 3a FIG. 3b

FIG. 3c

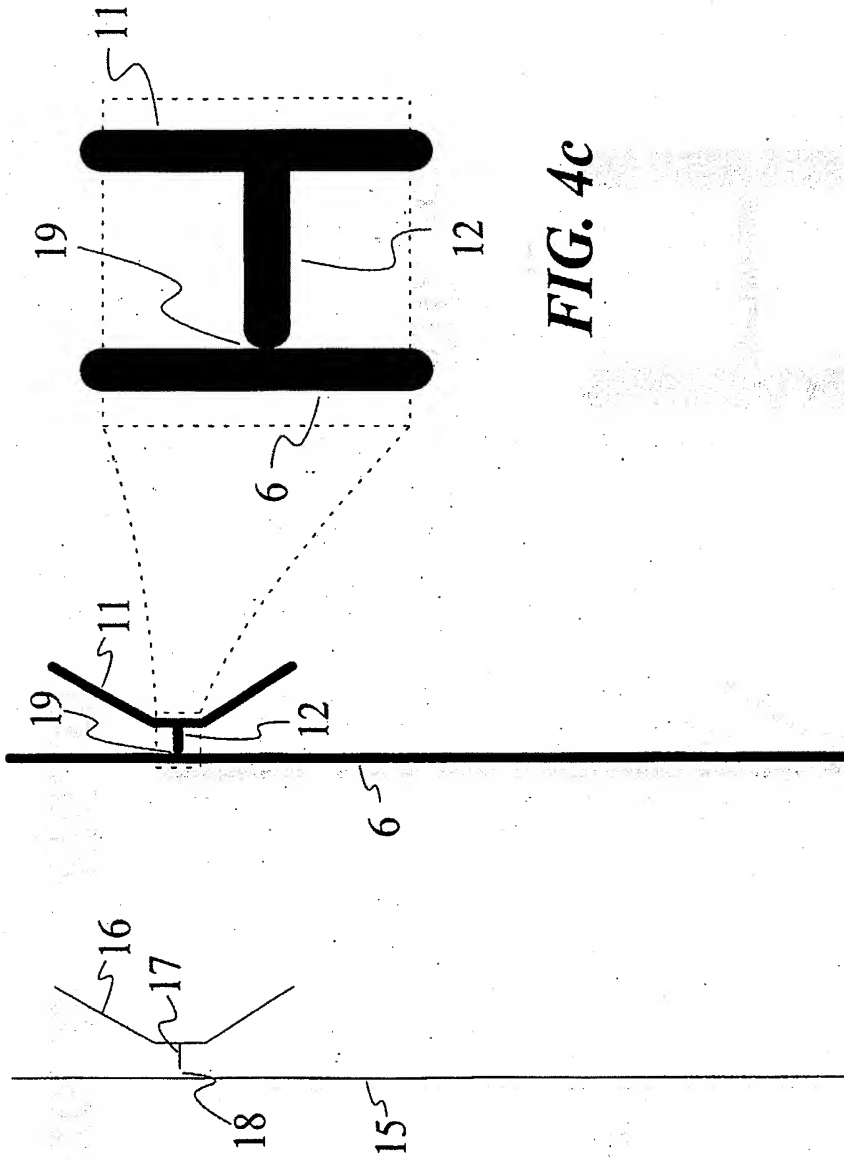


FIG. 4c

FIG. 4a FIG. 4b

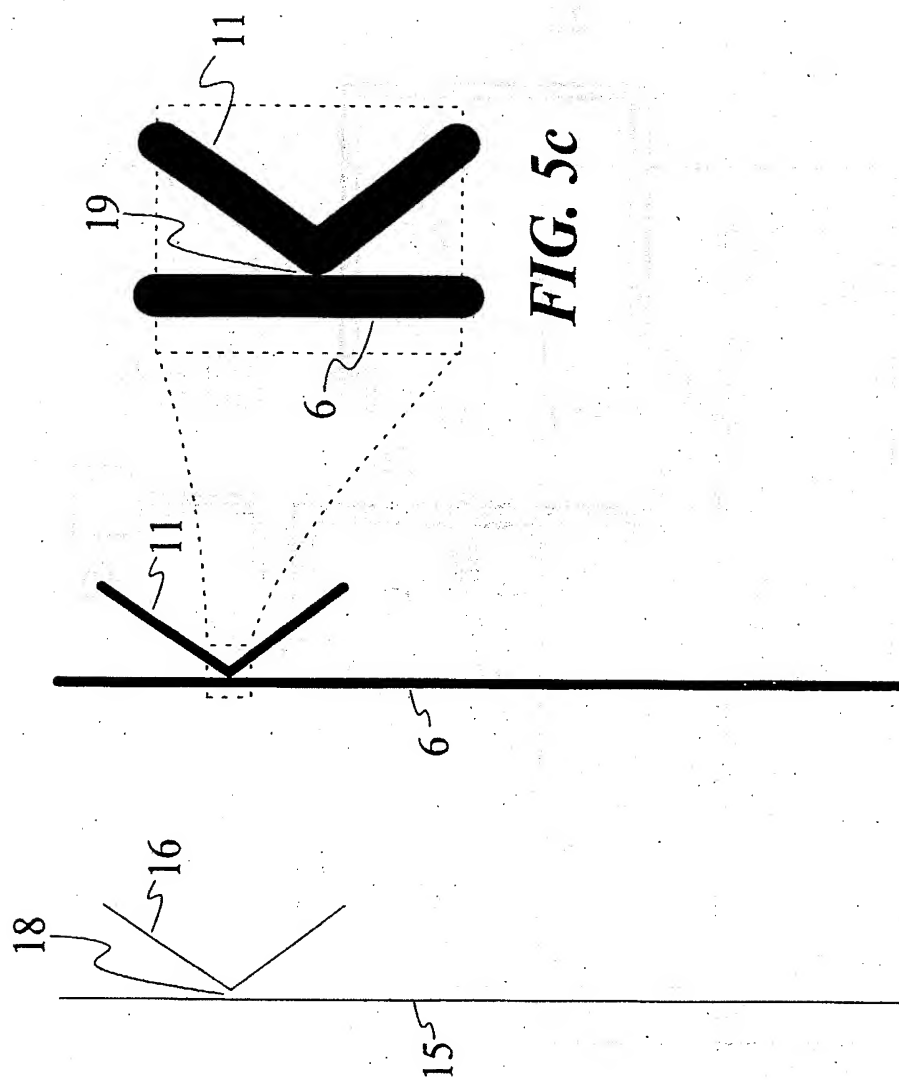
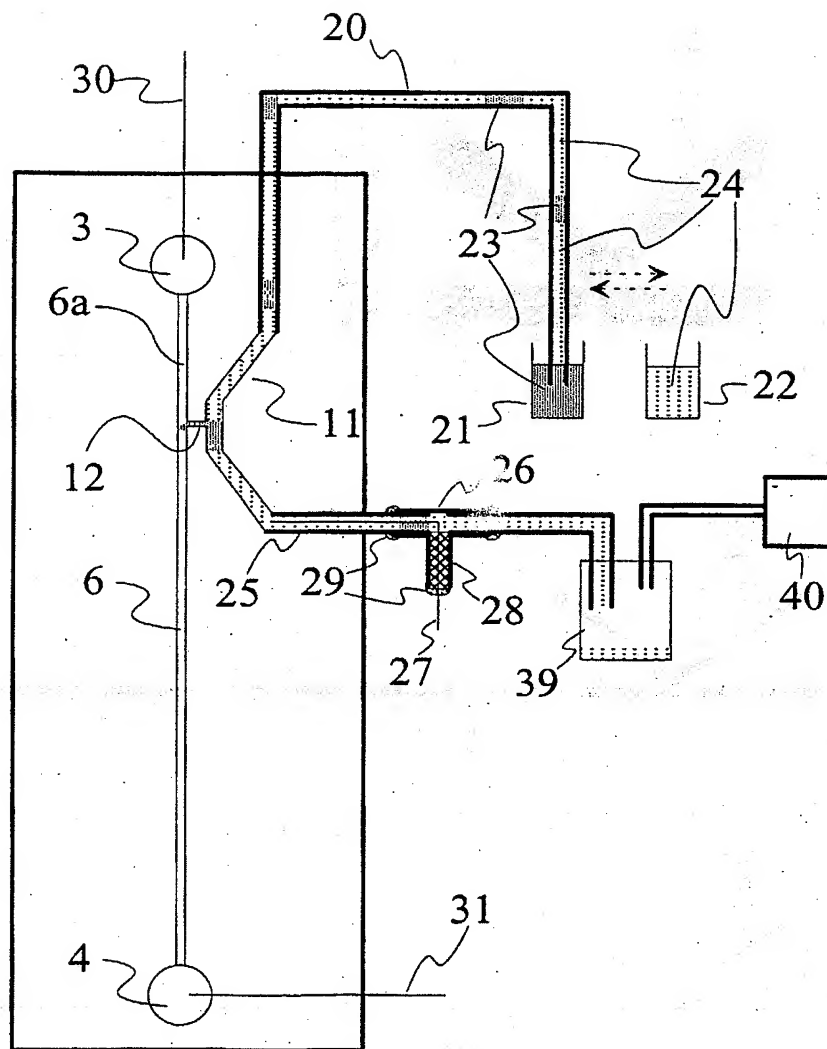
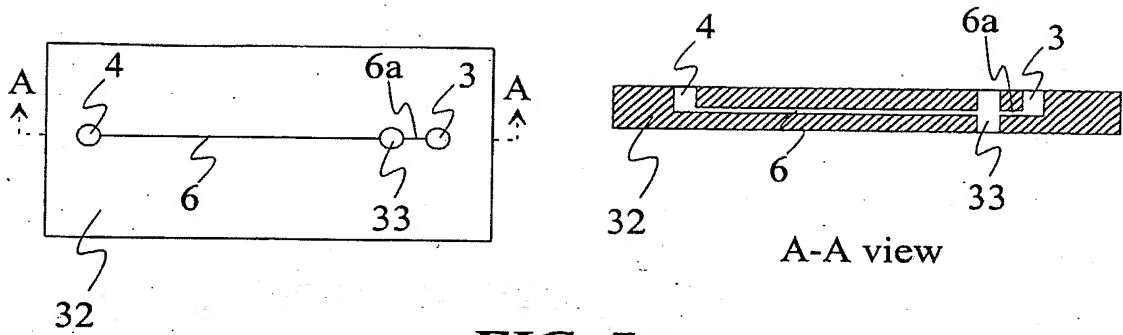


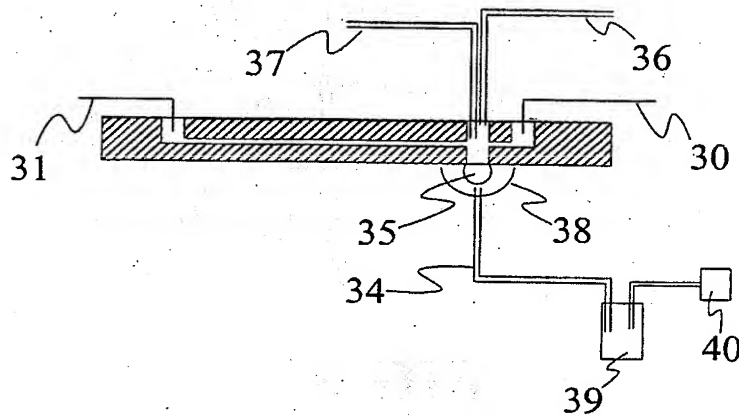
FIG. 5a FIG. 5b

FIG. 5c

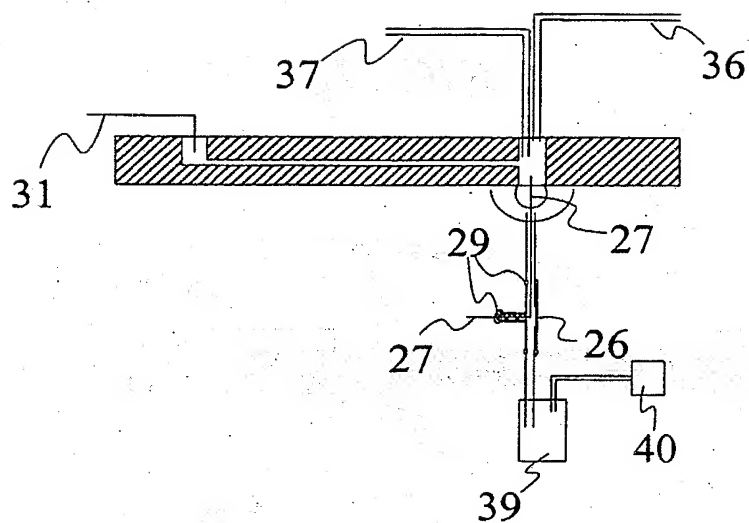
**FIG. 6**

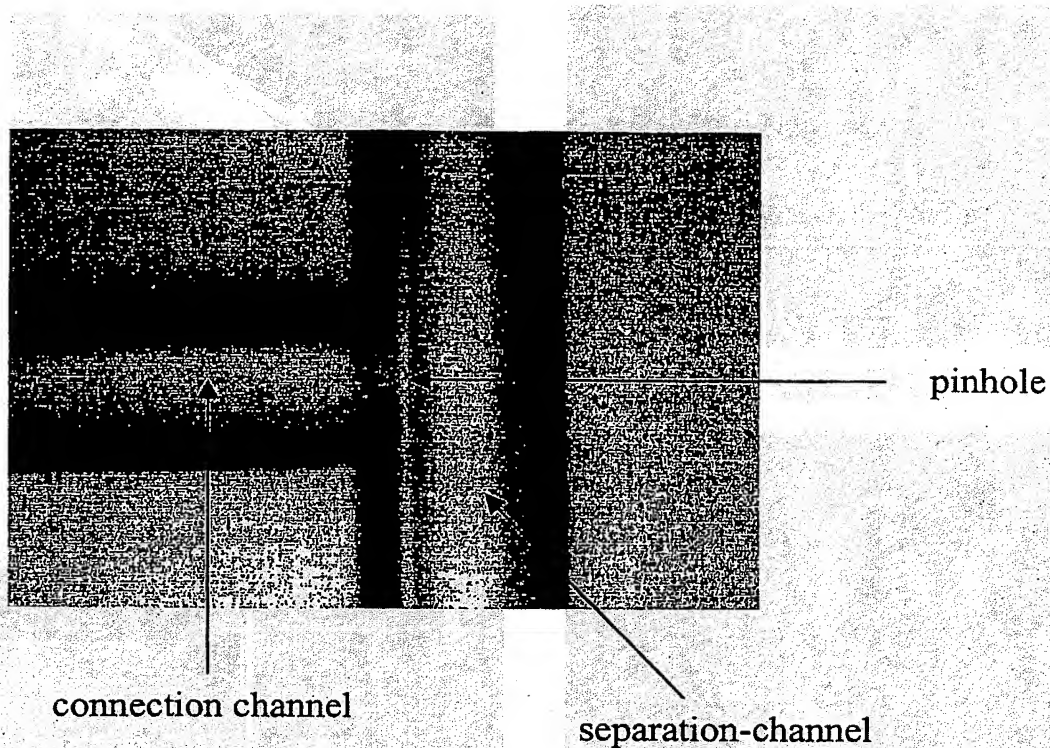


**FIG. 7a**



**FIG. 7b**

**FIG. 8**



**FIG. 9**

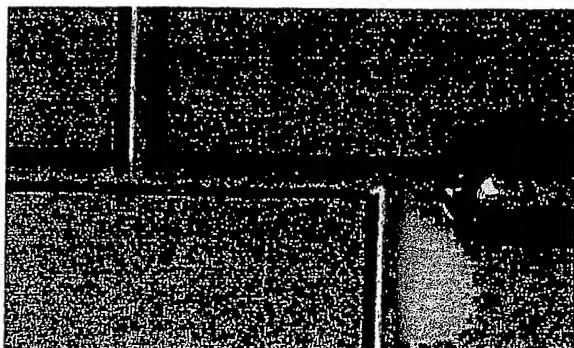


FIG. 10a

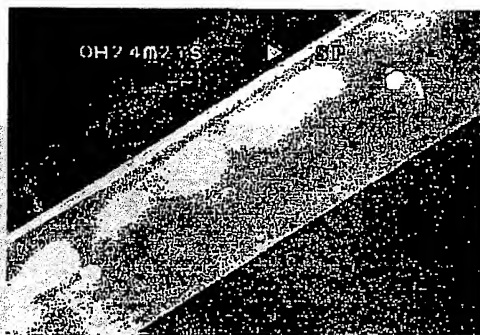


FIG. 10b



FIG. 10c

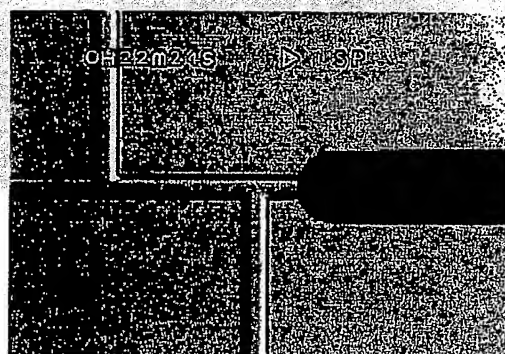
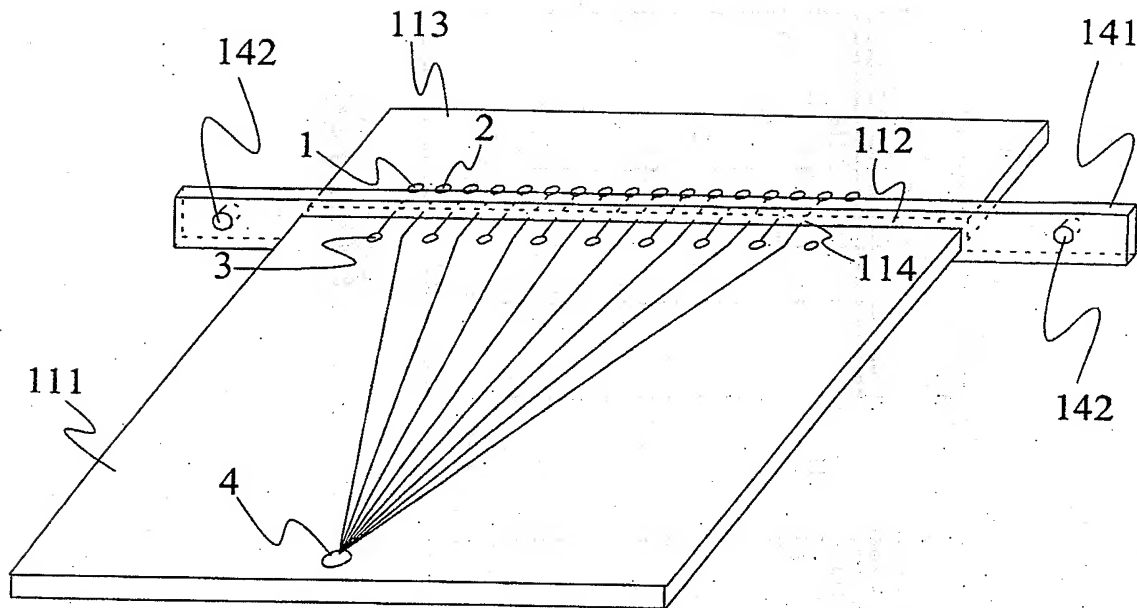
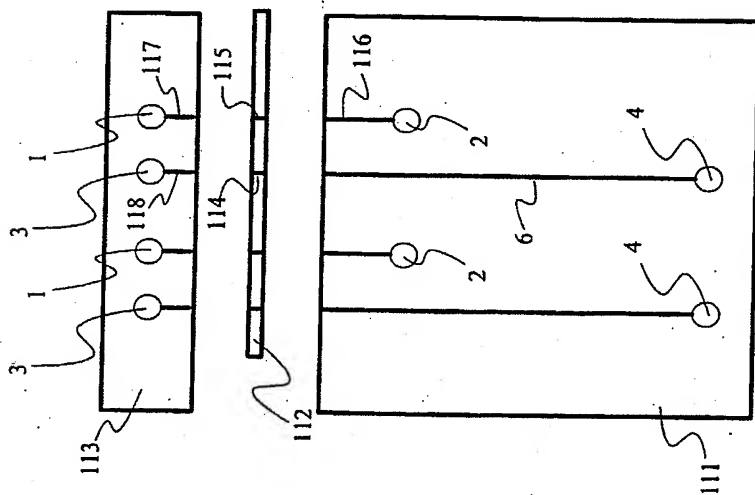


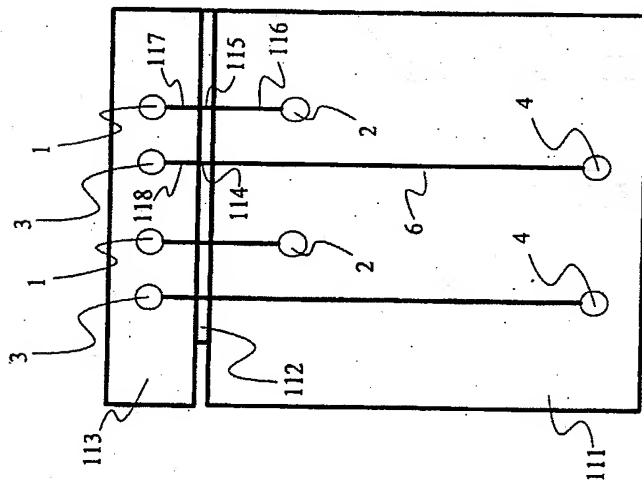
FIG. 10d



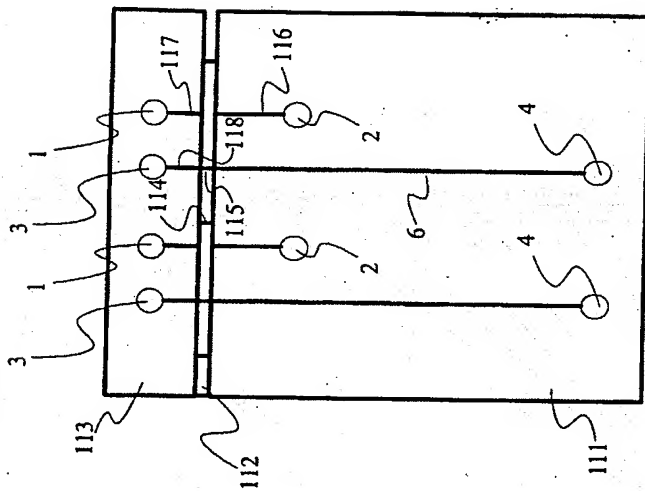
**FIG. 11**



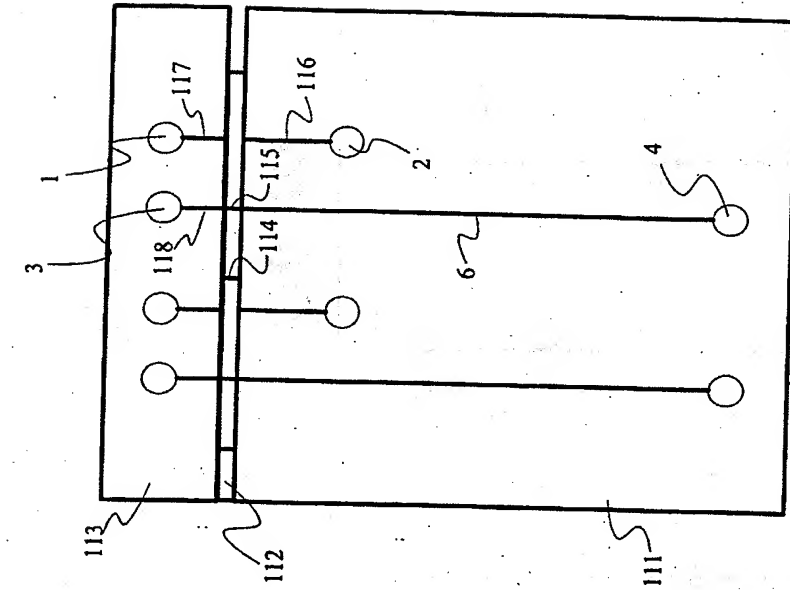
**FIG. 12a**



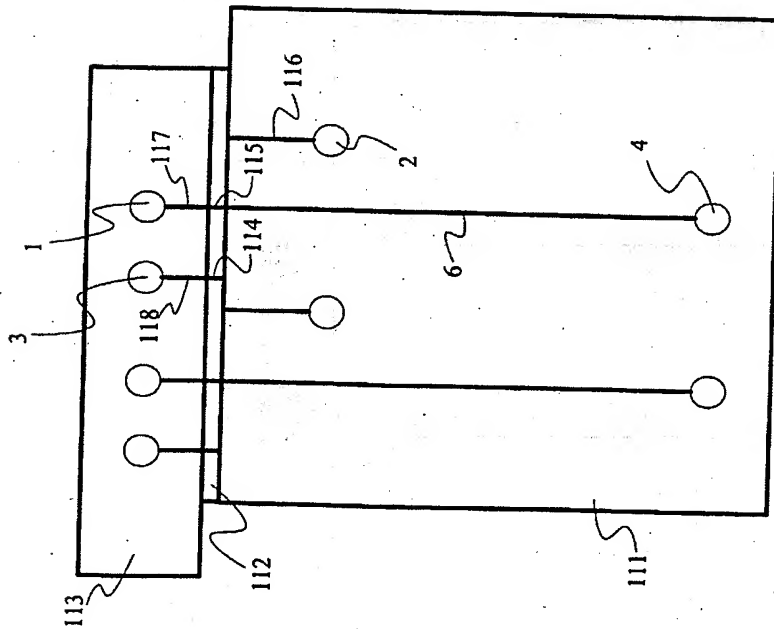
**FIG. 12b**



**FIG. 12c**



**FIG. 13a**



**FIG. 13b**

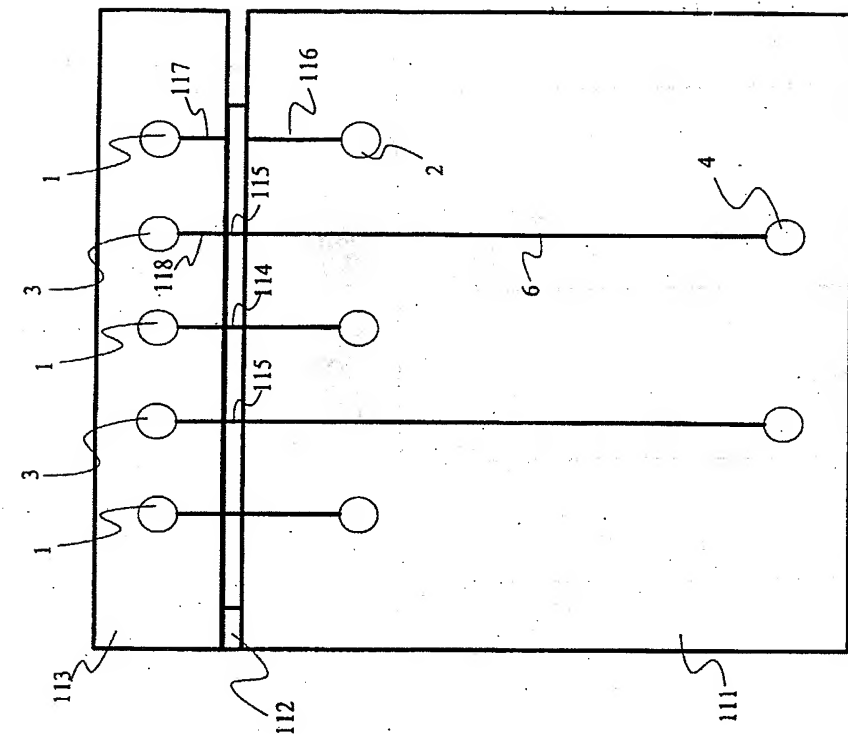


FIG. 14a

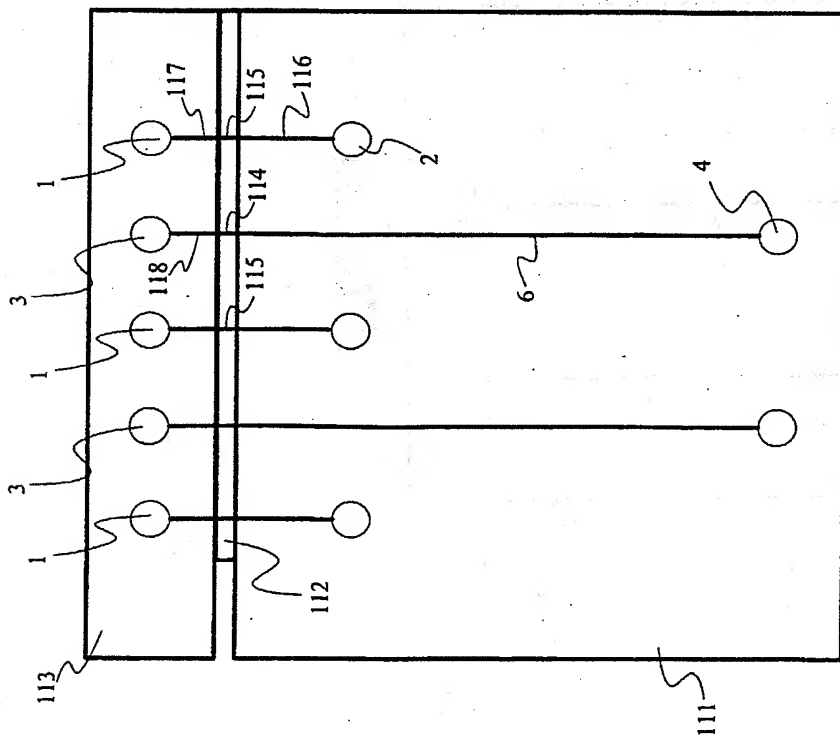


FIG. 14b

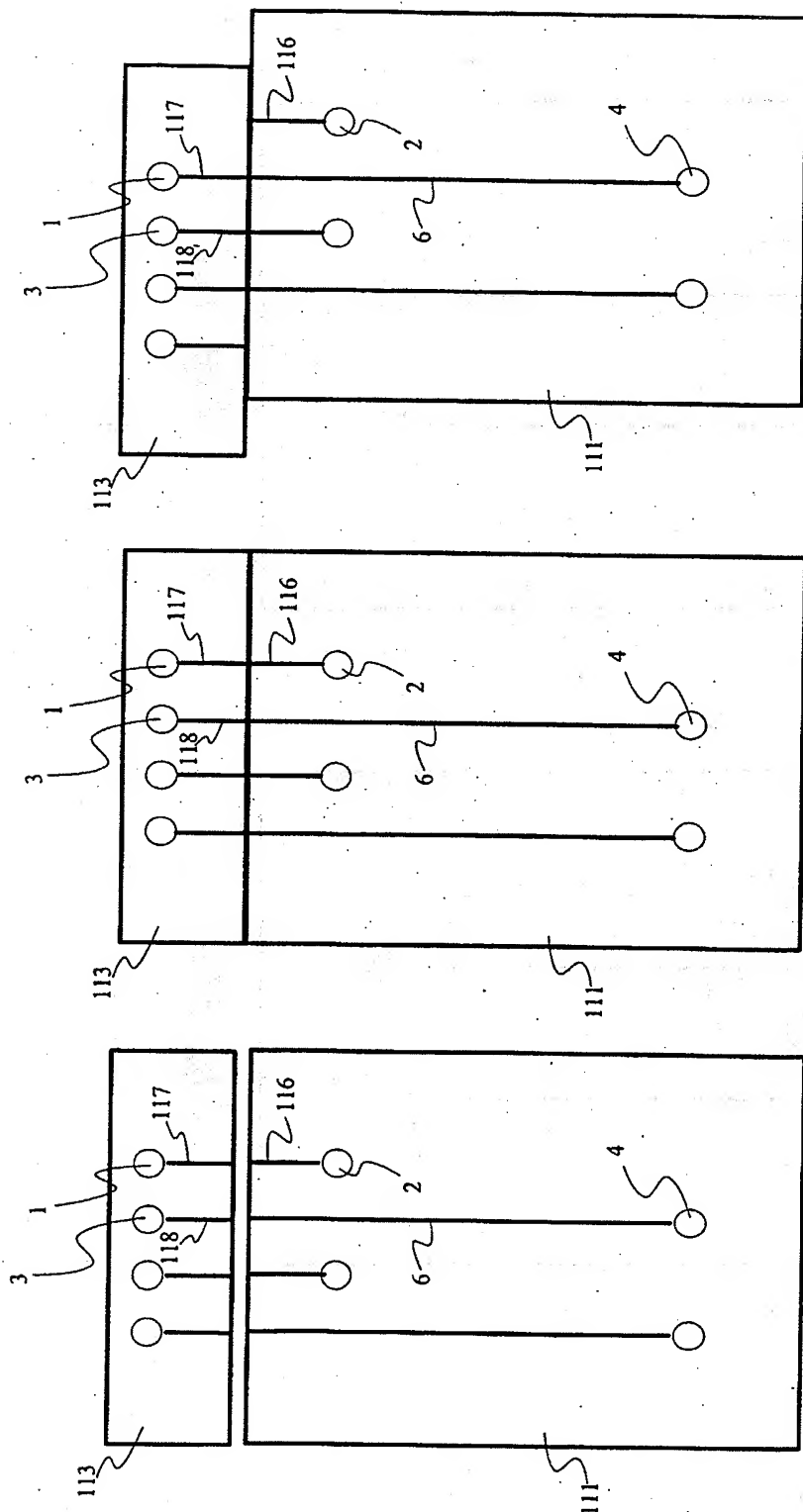


Fig. 15c

Fig. 15b

Fig. 15a

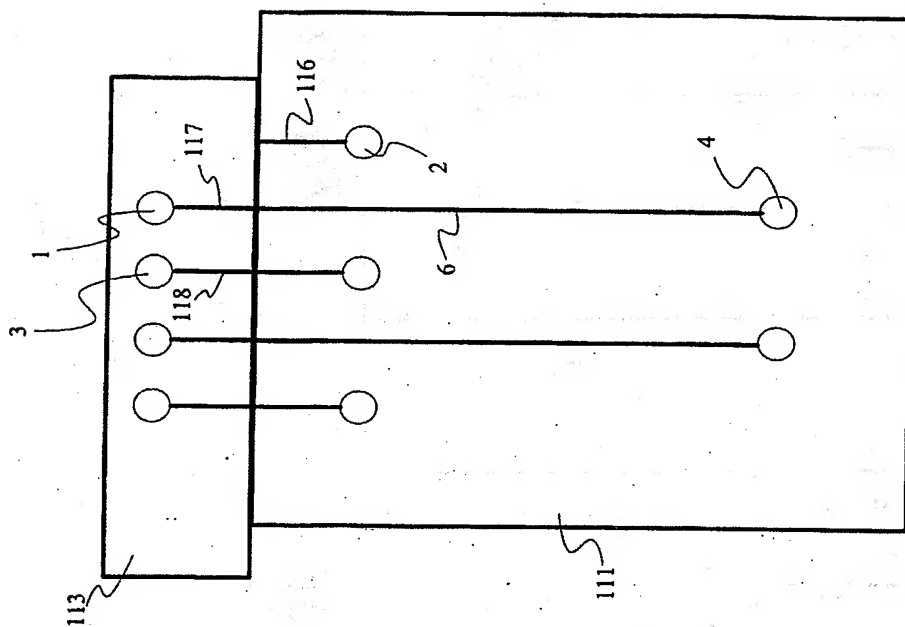


Fig. 16b

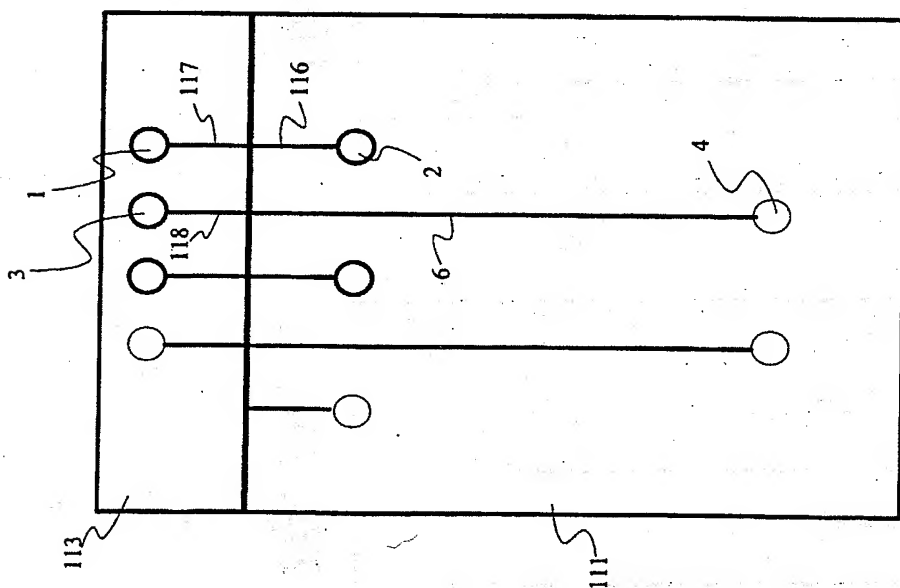


Fig. 16a

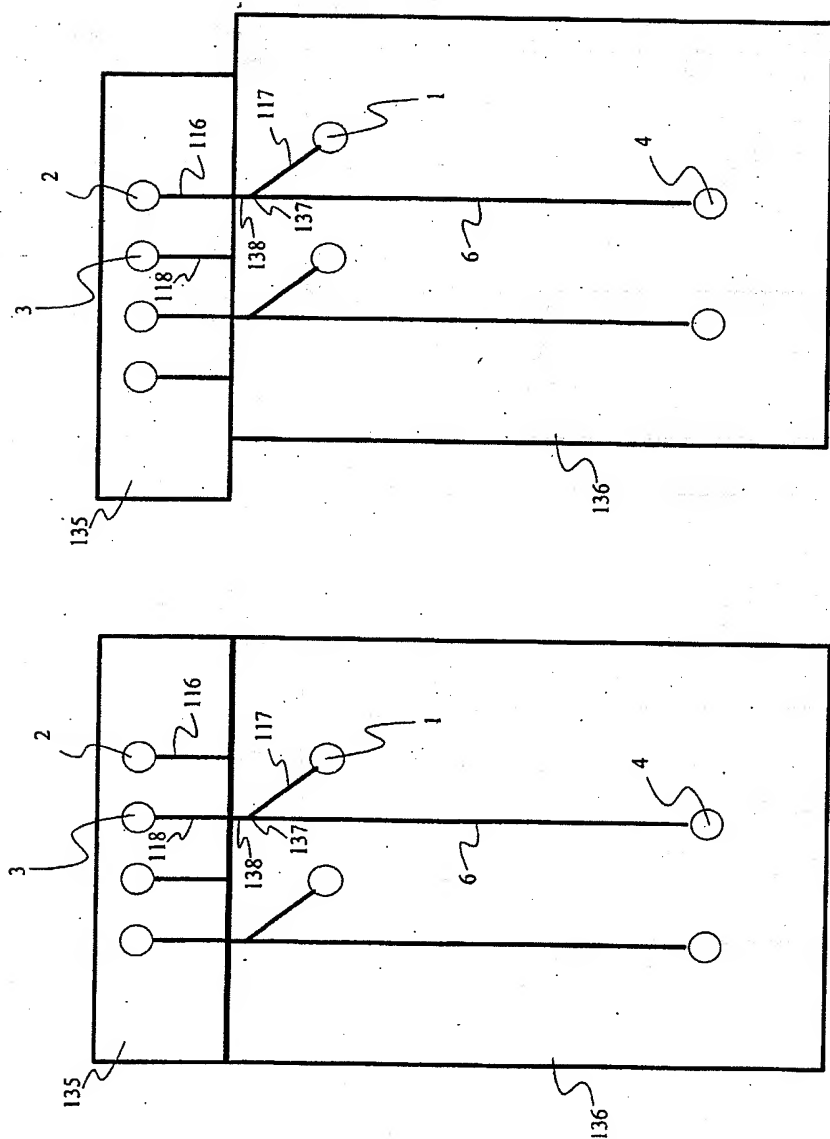


Fig. 17a

Fig. 17b

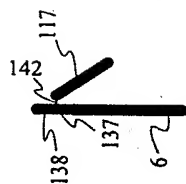


Fig. 17c

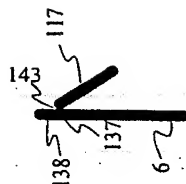
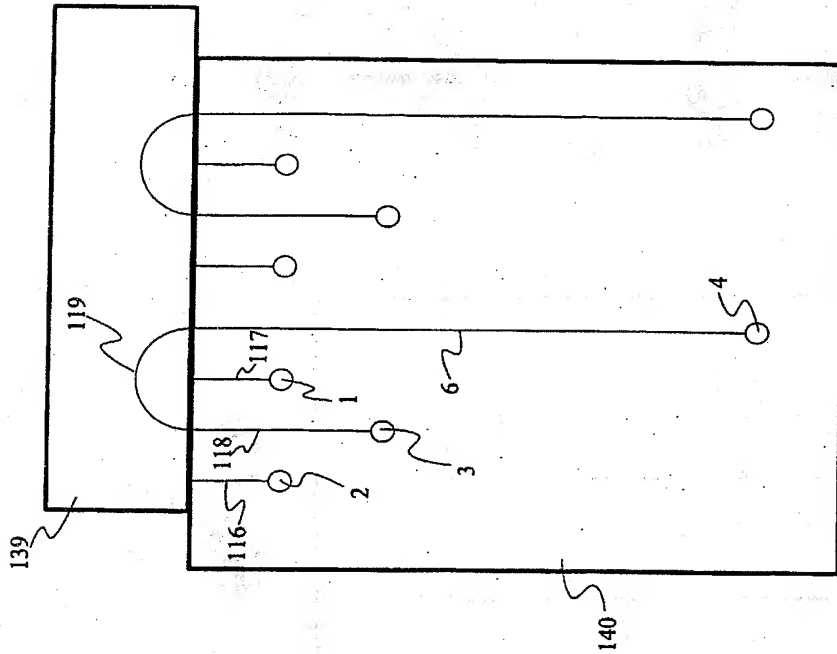
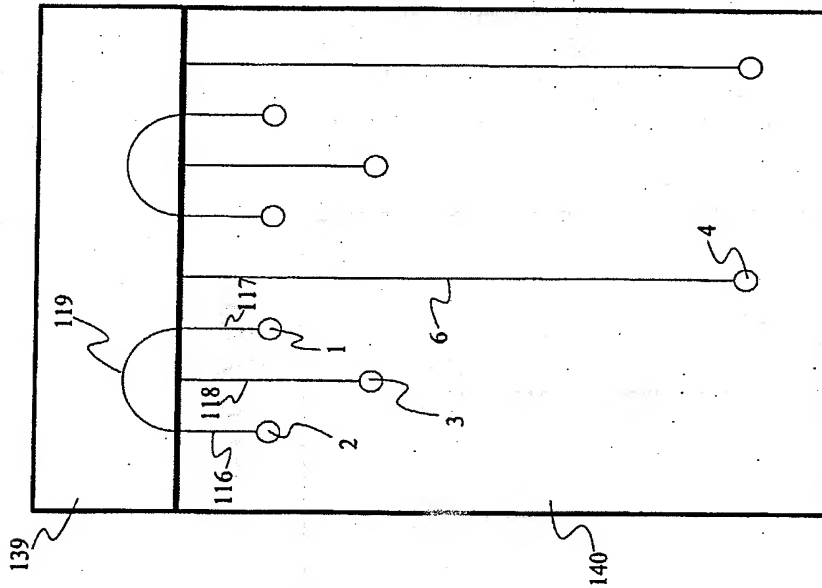


Fig. 17d



**Fig. 18a**



**Fig. 18b**



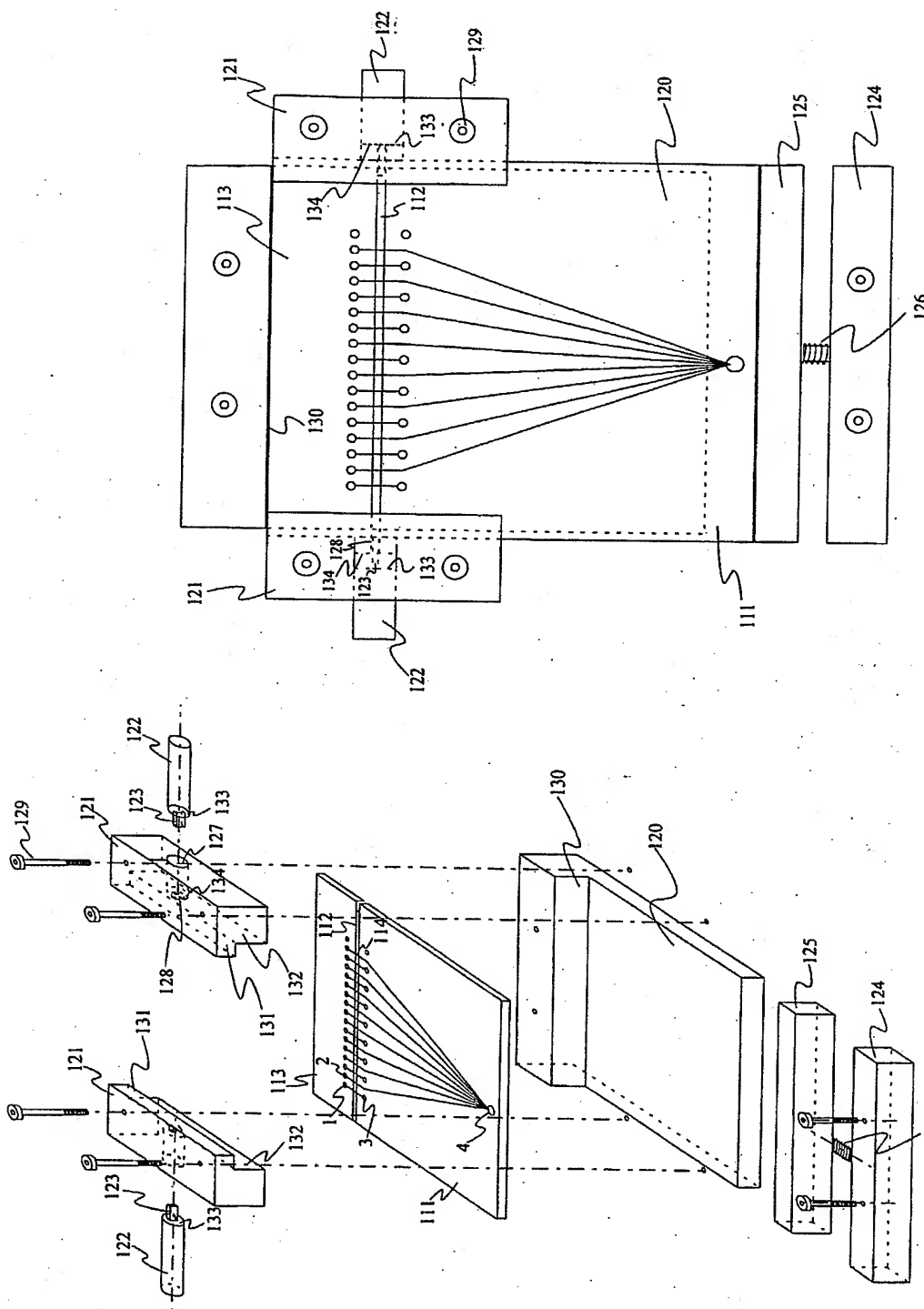


FIG. 19b

FIG. 19a

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(74) Agent: **LIU, Wen**; Liu & Liu LLP, Suite 1100, 811 West 7th Street, Los Angeles, CA 90017 (US).

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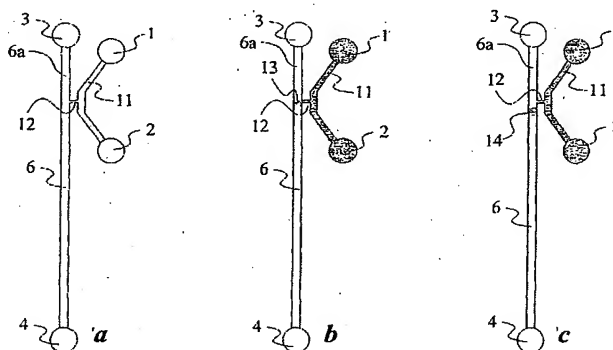
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(88) Date of publication of the international search report:  
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[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR SAMPLE INJECTION IN MICROFABRICATED DEVICES



(57) Abstract: An off-column sample injection scheme for introducing samples into micro-reaction channels in microfabricated devices. In one aspect of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir provided on the substrate of the microfabricated device into a reaction channel via a constricted channel or opening interface, e.g., a narrow connection-channel and/or a pinhole. In another embodiment of the present invention, off-column sample injection is effected by introducing sample from a sample reservoir that is provided outside the substrate of the microfabricated device. A through-hole is provided in the substrate to facilitate sample introduction into the reaction channel. In a further aspect of the present invention, the free-end of a capillary tube connected to the sample-channel is moved alternatively to a sample and an auxiliary solution to bring multiple samples in series to the vicinity of a reaction channel for convenient sample introduction and high-throughput assays. In another aspect of the present invention, fixed volumes of samples are metered into the reaction channel using one or more slidable blocks having at least one fixed-length sample metering channel. In another aspect of the present invention, a sample injection scheme based on injection time is implemented using relatively sliding blocks of separation channels and sample channels. In a further aspect of the present invention, separation channels are configured in relation to the slidable block in a manner that enables separations to be conducted continuously for high-throughput assays.

WO 02/064253 A3



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/04296

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B01L3/00 G01N1/00 G01N35/08 B01L11/00 G01N27/447

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01L G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 090 251 A (SUNDBERG STEVEN A ET AL) 18 July 2000 (2000-07-18) column 7, line 7 -column 7, line 38 column 9, line 26 -column 10, line 38 figures 3,7-9	1-9,12
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☒ Further documents are listed in the continuation of box C.

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Date of the actual completion of the international search

22 August 2002

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

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X	US 6 110 343 A (RAMSEY ROSWITHA S ET AL) 29 August 2000 (2000-08-29) column 5, line 35 -column 5, line 51 column 3, line 6 -column 3, line 41 column 6, line 15 -column 6, line 26 figures 1,2,4,5 ---	1-5
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X	US 6 117 396 A (DEMERS ROBERT RICHARD) 12 September 2000 (2000-09-12) abstract ---	1,6
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 02/04296

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-12

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

**1. Claims: 1-12**

microfluidic device and method to use the same, The device comprising two channels on a substrate, the two channels are connected by a constricted conduit.

**2. Claims: 13-26**

A microfluidic device and method to use the same. The device comprising two blocks having channels and/or metering channels therein and the blocks are slidable relative to each other.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/04296

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Information on patent family members

International Application No

PCT/US 02/04296

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